



## Willis Johnson Wealth

5847 San Felipe, Ste. 1500  
Houston, TX 77057

713.439.1200

[www.wjohnsonwealth.com](http://www.wjohnsonwealth.com)

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This brochure provides information about the qualifications and business practices of Willis Johnson Wealth. If you have any questions about the contents of this brochure, please contact us at 713.439.1200. The information in this brochure has not been approved or verified by the United States Securities and Exchange Commission or by any state securities authority.

Additional information about Willis Johnson Wealth is also available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

Willis Johnson Wealth is a registered investment adviser. Registration with the U.S. States Securities and Exchange Commission or any state securities authority does not imply a certain level of skill or training.

## **ITEM 2: MATERIAL CHANGES**

Since our last annual filing on 3/25/2025 we have made the following material changes:

We have changed our firm's primary business name from Willis Johnson & Associates, Inc. to Willis Johnson Wealth. This was a name change only and does not reflect a change in our ownership or management team.

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## ITEM 4: ADVISORY BUSINESS

### Advisory Firm Description

Willis Johnson Wealth (“WJW” or the “Firm”) is a wealth management firm that specializes in helping corporate professionals and executives with their comprehensive financial planning and investment management needs. The Firm was founded in 1996. Willis A. Johnson and Nicholas A. Johnson Qualified Subchapter S Trust are the principal owners of the Firm. Nicholas A. Johnson is the trustee of the Nicholas A. Johnson Qualified Subchapter S Trust.

### Types of Advisory Services

WJW primarily works with corporate professionals and executives in helping them build out a customized comprehensive plan, based on the various stages in the Arc of life. WJW understands that corporate professionals and executives at various stages in life from the 40’s, 50’s, 60’s, 70’s and beyond often have differing needs, goals, and concerns.

People in certain age groups tend to have similar financial needs what activities and events require financial planning during each stage in the Arc of life. At Willis Johnson Wealth, this is where our process begins.

WJW leads with a four-step Continuously Planning approach for its clients. The first step, Understanding You, is an in-depth active listening session in which WJW gathers personal, financial, and legal data alongside client’s goals & objectives. Following is Financial Analysis & Education in which the Firm conducts a thorough personal financial analysis and then educates the client on the options available to them (often including corporate benefits elections). Next, WJW begins the Guidance & Implementation process in which WJW discusses tailored options to meet the client’s financial goals and assists with the implementation. WJW then ensures that ongoing Financial Reviews are put in place to monitor and adjust the financial plan as life happens.

Understanding You

Financial Analysis & Education



Financial Review

Guidance & Implementation

Specific services provided by WJW may include retirement planning, corporate benefit elections, trust and estate planning, income tax planning and preparation, risk management and insurance planning, charitable planning, education planning, and investment management. Please refer to Item 5: Fees and Compensation for additional details.

WJW occasionally does work with small business owners, though small business owners are not a focus of the firm. Services to small business owners may include business succession planning, tax strategies, executive benefits planning, retirement plan solutions and investment management. For small business owners, the Firm also offers retirement consulting services to employee benefit plans and their fiduciaries that are designed to assist the plan sponsor in meeting its fiduciary obligations to the plan under ERISA.

### **Tailored Advisory Services**

WJW provides advisory services that are typically tailored to the client's specific situation and needs. However, most investment portfolios are managed according to one of the Firm's model strategies, with some exceptions. You do have the opportunity to impose restrictions on investing in certain securities or types of securities held in your account. The Firm is generally granted discretion by its clients.

### **Written Acknowledgement of Fiduciary Status**

When we provide investment advice to you regarding your retirement plan account or individual retirement account, we are fiduciaries within the meaning of Title I of the Employee Retirement Income Security Act and/or the Internal Revenue Code, as applicable, which are laws governing retirement accounts. The way we make money creates some conflicts with your interests, so we operate under a special rule that requires us to act in your best interest and not put our interest ahead of yours. Under this special rule's provisions, we must:

- Meet a professional standard of care when making investment recommendations (prudent advice);
- Never put our financial interests ahead of yours when making recommendations (loyal advice);
- Avoid misleading statements about conflicts of interest, fees, and investments;
- Follow policies and procedures designed to ensure that we give advice that is in your best interest;
- Charge no more than is reasonable for our services; and
- Give you basic information about conflicts of interest.

### **Wrap Fee Programs**

The Firm does not have a wrap fee program whereby the client would pay one fee that covers account management as well as trading and transaction charges. Under the Firm's asset management program, clients pay all trading and transaction charges in addition to the quarterly account management fee.

### **Client Assets Under Management**

As of December 31, 2025, the Firm had approximately \$1,763,700,424 discretionary assets under management and \$88,191,079 of non-discretionary assets under management.

## **ITEM 5: FEES AND COMPENSATION**

The Firm collects an investment advisory fee for the investment management and financial planning services provided. This fee, which is billed to the client on a quarterly basis in advance, is based on the account value, as provided by the custodian, at the previous quarter end. If funds of \$50,000 or more are added or withdrawn from an account after the last day of the previous quarter, but before the next billing date, WJW will net the contributions and withdrawals and prorate the current bill accordingly. Fees are negotiable based on complexity, specific situations and outside circumstances.

For new clients added during the last month of the quarter, fees will be charged at the beginning of the following quarter. For new clients, the initial fee is based on the market value of the assets in the account(s) on the last business day of the quarter in which it's opened and prorated from the date of activation.

If the Firm provides you with financial planning services, only, the Firm will collect fees based on a fixed contract (based on the client's needs and the expected time to complete the plan) that requires payment of one-half of the agreed amount at the beginning of the engagement, with the balance due upon completion of the work.

## Willis Johnson Wealth – Asset Management Fees Tiered Fee Schedule

| Household Assets under Management* | Annual Fee as % of Assets |
|------------------------------------|---------------------------|
| First \$500,000 (\$0 - \$500,000)  | 1.20%                     |
| Next \$500,000 - \$3,000,000       | 0.90%                     |
| Next \$3,000,000 - \$5,000,000     | 0.85%                     |
| Next \$5,000,000 - \$10,000,000    | 0.65%                     |
| Next \$10,000,000 - \$20,000,000   | 0.45%                     |
| Next \$20,000,000 and up           | 0.40%                     |

\*Valuation of accounts shall be made on the last business day of each quarter. The minimum Household Assets under Management is \$750,000. Clients below this threshold will be billed a minimum fee of \$2,063 per quarter.

| Example Household AUM | Annual Weighted Fee as % of Assets |
|-----------------------|------------------------------------|
| \$1,000,000           | 1.05%                              |
| \$3,000,000           | 0.95%                              |
| \$5,000,000           | 0.91%                              |
| \$8,000,000           | 0.83%                              |
| \$10,000,000          | 0.78%                              |
| \$15,000,000          | 0.67%                              |

\*Until all existing clients are transitioned to the fee schedule posted above, clients that engaged the Firm prior to May 2022 may still be on the prior fee schedule and can refer to their advisor agreement for current fee schedule.

Advisory fees will be directly debited from an account managed by WJW. You will be provided with a statement, at least quarterly, from the custodian reflecting deduction of the investment advisory fee. Clients are urged to also review statements provided by the custodian, as the custodian does not perform a verification of fees. Clients provide written authorization permitting advisory fees to be deducted and paid directly from their account[s] held by the custodian as part of the investment advisory agreement and account forms provided by the custodian.

**Other Fees and Expenses** - Clients may incur certain transaction fees or charges imposed by third parties in connection with investments made on behalf of the client's account[s].

When WJW recommends a mutual fund for a client's account, three separate fees may be charged to the client, either directly or indirectly. The first fee is WJW's investment management fee where the fund is included in the asset base for the quarterly fee calculation. The second is the set of internal fees charged by the investment company for the fund's investment management, marketing, administration and marketing assistance. These internal expenses are disclosed in each fund's prospectus which is provided to each client by the custodian. (This set of fees also applies to any ETF or money market fund purchased in the client's account.) The third fee may be a transaction fee which is assessed by the custodian for its service of providing access to a universe of mutual fund families through one account. To avoid such fees a client would be required to open a separate account with each individual mutual fund company instead of using the custodian recommended by WJW, which would also negatively affect WJW's ability to deliver its services efficiently. Not all mutual fund trades enacted by WJW incur this transaction fee.

For the WJW model portfolios that include private market investments, the additional fees, paid to a third-party manager, for the private markets allocation typically include fund management fees,

performance-based incentives, and additional costs related to the underlying assets, which are disclosed in a fund’s prospectus or other offering materials. WJW does not receive any portion of these fees, incentives and costs.

### Willis Johnson Wealth – Families Program

The Willis Johnson Wealth Families Program (“WFP”) offers a separate Fee Schedule to parents or children (“family member”) of existing clients if they elect to engage Willis Johnson Wealth for financial planning and investment management. For purposes of the WFP, family member is defined as the clients’ parents or children. WFP is intended to give family members of existing clients that don’t meet the minimum AUM requirements an opportunity to get financial advice while working towards longer term financial goals. Fees will apply to any family member of a client whom WJW has separate Financial Review meetings with. If parents or others are legal guardian or POA on an account and the firm is not meeting with the family member, but with the existing client, then the account(s) will be “householded” (grouped) with the existing client’s accounts. Family members may be able to discern the client’s manageable assets as the family member’s fee schedule is adjusted based on the client’s assets. As such the family member (and existing client) must elect to participate in the program.

| WFP Participant Age   | WJW Manages Less Than \$3 Million for Parents | WJW Manages More Than \$3 Million for Parents | WJW Manages More Than \$7 Million for Parents |
|-----------------------|---|---|---|
| Less Than 35 Years    | No Minimum Fee                                | No Minimum.<br>Householded w/ Parents         | No Minimum.<br>Householded w/ Parents         |
| Between 35 - 45 Years | Minimum Fee*                                  | No Minimum Fee                                | No Minimum.<br>Householded w/ Parents         |
| More Than 45 Years    | Minimum Fee*                                  | Minimum Fee*                                  | No Minimum Fee                                |

\*All family member accounts will be subject to the Tiered Fee Schedule as described above. Minimum fees are based off the Tiered Fee Schedule, where the minimum fee is \$2,063 per quarter.

If family member’s accounts are householded, they will be billed under the client’s household and the fees will be based on the combined assets of both the client and the family member. Since the family member’s fee rate is adjusted based on the client’s household AUM, information about the size of managed assets may be indirectly shared with the family member.

### Example:

| Example: Family Member has \$200,000 to Invest |  |
|--|--|
| WFP Participant Age                            | WJW Manages \$3 Million for Existing Client  |
| Less Than 35 Years                             | No Minimum.<br>Householded w/ Existing Client = \$3,200,000 in Billable Assets<br>Annual Fee = ~0.94% (~\$471/quarter) |
| Between 35-45 Years                            | No Minimum.<br>Separate Household = \$200,000 in Billable Assets<br>Annual Fee = 1.2% (\$600/quarter)                  |
| More Than 45 Years                             | Separate Household = \$200,000 in Billable Assets<br>Annual Fee – Subject to Minimum Fee of \$2,063 / quarter          |

The fee structure may be subject to change based off of the client's total household AUM or if the family member's age falls into the next age range. Valuation of WFP accounts shall be made on the last business day of each quarter. Adjustments will only be made quarterly when household AUM is reviewed for billing.

### **Willis Johnson Wealth – Termination of Services**

Either the client or WJW may terminate the financial planning services or investment advisory services agreement upon receipt of five (5) days written notice. If the client paid for the investment advisory services in advance and terminates the contract, the client will receive a refund of a prorated portion of the prepaid advisory fee. If a client should terminate a planning relationship before completion of the plan, WJW will review work completed to date and refund any prepaid unearned fees. Clients who terminate the contract within five (5) business days of signing the contract shall be provided a full refund.

### **Willis Johnson Wealth - Preparation and Planning Services**

Tax preparation and planning services are offered at WJW, by a Certified Public Accountant employed by WJW. WJW's fee for tax services will be listed in a separate Agreement for Tax Preparation Services. The fee is based on the complexity of the client's tax situation and the number of tax forms to file and may be deducted from an account under WJW's management as described above or invoiced separately. These services are provided by a CPA employed by WJW, and therefore WJW will need to have access to all of a client's tax related information. Clients are not required to use WJW's tax preparation services, and the services may cost more or less than comparable services offered by an unaffiliated CPA.

## **ITEM 6: PERFORMANCE-BASED FEES AND SIDE-BY-SIDE MANAGEMENT**

This section does not apply to WJW, as it does not charge performance-based fees.

## **ITEM 7: TYPES OF CLIENTS**

The Firm primarily provides services to individuals and high-net worth individuals, including corporate executives and professionals (as well as their families). Corporate executive and professional clients are often within five to ten years of retirement, or retired, and have a net worth in excess of \$1 million. Occasionally, the Firm will assist small business owners. The businesses that the Firm works with are typically closely held and vary across industries.

With regard to investment management services, the Firm has an account minimum of \$750,000. Smaller accounts may be accepted under certain circumstances. Household accounts will be aggregated to reach the \$750,000 threshold.

## **ITEM 8: METHODS OF ANALYSIS, INVESTMENT STRATEGIES AND RISK OF LOSS**

WJW's Investment Management Philosophy evolves around our Five Core Tenets.

1. Evidence, Not Opinions - We build portfolios using decades of peer-reviewed academic research instead of short-term forecasters. We believe markets reward discipline and patience, not speculation.

2. Diversified by Design - We're big believers in staying diversified across asset classes, regions, and sectors. We avoid chasing hot sectors or abandoning recently underperforming areas of the market.

3. Tax Smart - True wealth is measured in after-tax returns, not just pre-tax performance. We partner closely with our in-house CPA team to integrate tax planning with investment strategy, including annual capital gains budgeting.

4. Process Over Prediction - We use a disciplined, rules-based process with target band rebalancing. When allocations get overweight or underweight, we systematically rebalance without letting emotions drive decisions.

5. Pay Less, Keep More - We prioritize low-cost, tax-efficient vehicles such as institutional class funds and ETFs.

We take our core tenets to develop our Investment Approach based on our six values.

1. Company Stock Guidance - Managing concentrated company equity positions.
2. Tax Coordination - Making your accounts and benefits work together tax-smart.
3. Private Market Access - Opportunities in equity, credit, and real estate.
4. Risk Alignment - Portfolios designed around your goals and comfort level.
5. Retirement Income Planning - Creating cash flow strategies for your retirement years.
6. Rebalancing Discipline - Systematic monitoring to keep portfolios on track.

Keeping our tenets and values in mind, we generally invest broadly across low cost ETFs holding exposure to broad indices such as the S&P 500 Index or the U.S. Aggregate Bond Index. Our goal for long-term outperformance is to tilt our portfolios to better capture what we believe are long-term trends based on peer-reviewed academic research. For instance, you may see an overweight to U.S. stocks over international stocks or value stocks over growth stocks when compared to our blended benchmarks. We keep our overweight and underweight tilts at reasonable levels compared to our blended benchmarks to the point we would like to see slight outperformance or underperformance to the blended benchmark over time.

WJW manages six investment strategies that range from all equity to all fixed income: Aggressive, Growth, Growth & Income, Balanced, Conservative, and Fixed Income. Depending upon the strategy selected to best fit your risk tolerance, we simply increase our allocation of equities proportionately to composites holding a higher percentage of equities and we decrease our allocation to the same equity bucket proportionately to composites holding a smaller allocation to equities.

From time-to-time, we might add to or reweight our portfolios based on an intermediate-term outlook in the markets. This outlook we might foresee looks out usually over a minimum of five years. For example, we added some diversified equity income funds during COVID when rates were near zero to some of our composites owning more bonds in order to help increase portfolio income to meet withdrawal needs. Other times, for instance, we might decrease exposure to certain bonds as a whole if we believe that credit spreads are too tight compared to historical averages.

Even though we try to mitigate major volatility through taking a value-based approach as opposed to a more growth-based approach, investing in securities involves risk of loss that clients should be prepared to bear.

Material risks to a client's portfolio might include:

### **Risk of Loss**

WJW does not guarantee the future performance of the account or any specific level of performance, the success of any investment decision or strategy that the Firm may use, or the success of the Firm's overall management of the account. The client understands that investment decisions made for the client's account by the Firm are subject to various risks, and that those investment decisions will not always be profitable. The client understands that investing in any security entails risk of loss. While not all risks are listed, the following are some of the key risks that may impact the value of a client's overall account or specific investment products and vehicles:

### **Market Risk**

The prices of the securities in which WJW invests may decline for a number of reasons including in response to economic downturns, market volatility, or geopolitical events.

### **Currency Risk**

If invested in non-U.S. securities, portfolios are subject to the risk that foreign currencies will decline in value relative to the U.S. dollar, or, in the case of hedging positions, that the U.S. dollar will decline in value relative to the currency being hedged.

### **Economic Risk**

The potential for investment losses due to changes in the broader economy. Factors such as inflation, interest rates, or economic recessions can adversely impact investment performance across various sectors and asset classes.

### **Business Risk**

Business risk is associated with investing in a particular industry or market sector. For example, investments in a fund which invests in energy sector holdings may be affected by external political or economic events affecting oil-producing companies or countries.

### **Regulatory Risk**

Regulatory risk refers to the potential for negative impacts on investments due to changes in Laws, regulations, or government policies. This can include shifts in regulatory frameworks, compliance requirements, or industry-specific rules that could influence business operations.

### **Political Risk**

Political risk arises from political events or instability that may negatively affect investments. This can include trade restrictions, geopolitical tensions, or political unrest that disrupt markets or the business environment.

### **Foreign Securities Risk and Emerging Markets Risk**

Investments in emerging markets involves risks including less social, political and economic stability, restrictive national policies and less developed legal structures.

### **Manager Risk**

The success of the investment funds is heavily reliant on the skills, experience, and decision-making of the fund managers, who may fail to execute the investment strategy effectively, which can lead to suboptimal performance or even losses.

## **Credit Risk**

The potential for loss arising from a borrower's or issuer's failure to make interest or principal repayments, which can result in default or a downgrade in credit ratings, impacting the value of the investment.

## **Interest Rate Risk**

In general, the value of bonds and other debt securities falls when interest rates rise. Longer term obligations are usually more sensitive to interest rate changes than shorter term obligations. Changes in interest rate can also affect the cost of leverage, the value of income-producing assets, and overall investment performance.

## **Adjustable Rate and Floating Rate Securities Risks**

Although adjustable and floating rate debt securities tend to be less volatile than fixed-rate debt securities, they nevertheless fluctuate in value.

## **Inflation Risk**

Inflation risk is the risk that the rising cost of living may erode the purchasing power of an investment over time.

## **Liquidity Risk**

Certain investments, particularly in private markets, may have extended lock-up periods, limited redemption options, and a lack of a readily available secondary market. These factors can lead to significant transaction costs or loss of value if the investments need to be converted to cash on short notice.

## **Leverage Risk**

Certain investments may employ strategies that utilize leverage by borrowing, which can amplify both gains and losses, potentially leading to significant financial distress during adverse market conditions.

## **Short Selling Risk**

Short selling risk occurs when an investment strategy involves selling securities or assets that are not owned, with the intention of repurchasing them at a lower price.

## **Valuation Risk**

Valuation risk arises from the challenge of accurately determining the market value of the private market investments. These assets often lack transparent pricing because they are not traded on public exchanges.

## **Private Market Investments**

Private market investments are only suitable for investors who meet the Firm's investor qualification and minimum account size requirements, typically having a long-term investment horizon, high risk tolerance, and limited liquidity needs. The offering documents for a specific private market investment will contain risk disclosures which relate to that investment. We urge you to review and carefully consider those risk factors.

Private market investments often require long holding periods and may face valuation challenges and limited transparency. These investments typically involve substantial risks, including liquidity risk, credit risk, market risk, operational risk, leverage risk, interest rate risk, manager risk, short selling risk, valuation risk, currency risk, regulatory risk, foreign securities risk, and emerging markets risk. Such securities are speculative in nature, may not be regulated under any laws, and should be considered illiquid and not freely transferable. They may be highly leveraged, volatile, and involve higher fees and expenses than other investments.

Private market investments are not immediately redeemable, permitting redemptions only at specified periods with advanced notice, potentially requiring clients to hold them in their accounts after the termination of an agreement. An investment should not be made unless you are prepared to lose all or a substantial portion of your investment.

### **Equity Securities**

Investments in equity markets are subject to many risk factors, including risks arising from economic conditions, government regulations, market sentiment, local and international political events, and environmental and technological issues. In addition, the market value of equity securities will fluctuate in response to changes in currency values. Fluctuations can be dramatic over the short term as well as long term, and different parts of the market and different types of equity securities can react differently to these developments. For example, large cap stocks can react differently from small cap stocks, and "growth" stocks can react differently from "value" stocks. Issuer, political, or economic developments can affect a single issuer, issuers within an industry or economic sector or geographic region, or the market as a whole. Changes in the financial condition of a single issuer can impact the market as a whole. Terrorism and related geo-political risks have led, and may in the future lead, to increased short-term market volatility and may have adverse long-term effects on world economies and markets generally.

### **Exchange Traded Funds ("ETFs")**

ETFs represent shares of ownership in either funds or unit investment trusts that hold portfolios of common stocks, bonds or other instruments, which are designed to generally correspond to the price and yield performance of an underlying index. A primary risk factor relating to ETFs is that the general level of stock or bond prices may decline, thus affecting the value of an equity or fixed income ETF, respectively. An ETF may also be adversely affected by the performance of the specific sector or group of industries on which it is based. Moreover, although ETFs are designed to provide investment results that generally correspond to the price and yield performance of their underlying indices, ETFs may not be able to exactly replicate the performance of the indices because of various sources of tracking error, including their expenses and a number of other factors. Client accounts investing in an ETF will bear their pro rata share of the ETF's operating expenses, which are in addition to any fees or other compensation paid to WJW.

## **ITEM 9: DISCIPLINARY INFORMATION**

The Firm has no public disciplinary record.

## **ITEM 10: OTHER FINANCIAL INDUSTRY ACTIVITIES AND AFFILIATIONS**

Neither the Firm nor its representatives are registered as, or have pending applications to become, a broker/dealer or a representative of a broker/dealer.

Some of WJW employees are Certified Public Accountants (CPA's) and provide accounting and other tax services to WJW clients. The client will execute a separate engagement letter with WJW detailing the tax services to be provided. Clients are under no obligation to engage WJW or WJW CPAs in their role as accountants for tax-related services. The services provided may cost more or less than comparable services offered by an unaffiliated CPA.

## **ITEM 11: CODE OF ETHICS, PARTICIPATION OR INTEREST IN CLIENT TRANSACTIONS AND PERSONAL TRADING**

### **Code of Ethics**

WJW has a fiduciary duty to its clients to act in the best interest of the client and always place the client's interests first and foremost. WJW takes seriously its compliance and regulatory obligations and requires all staff to comply with such rules and regulations as well as WJW's policies and procedures. As such and as required, WJW maintains a Code of Ethics manual for its personnel. The Code of Ethics contains provisions for standards of business conduct relating to, among other things, personal securities trading, inside information, and outside business activities. WJW will provide a copy of our Code of Ethics to you and any prospective client upon request.

### **Misuse of Nonpublic Information**

The Firm has policies and procedures in place to prevent the use of material nonpublic information and to protect the private information of each client.

### **Personal Securities Trading**

From time to time, representatives of WJW may buy or sell securities for themselves at or around the same time as clients. This may provide an opportunity for representatives of the Firm to buy or sell securities before or after recommending securities to clients resulting in representatives profiting off the recommendations they provide to clients. Such transactions create a conflict of interest; however, the Firm will never engage in trading that operates to the client's disadvantage when similar securities are being bought or sold.

### **Participation or Interest in Client Transactions**

The Firm does not buy securities for itself from, or sell securities it owns to, its clients, as the Firm does not have any proprietary accounts.

WJW employees may participate in block trades with clients in which all participants receive the same execution price.

## **ITEM 12: BROKERAGE PRACTICES**

WJW recommends that clients use Fidelity to custody their accounts the Firm manages. WJW has established a relationship with National Financial Services LLC and Fidelity Brokerage Services LLC (collectively, and together with all affiliates, "Fidelity") through which Fidelity provides our firm with "institutional platform services." The institutional platform services include, among others, brokerage, custody, and other related services. Fidelity's institutional platform services that assist us in managing and administering clients' accounts include software and other technology that (i) provide access to client account data (such as trade confirmations and account statements); (ii) facilitate trade execution and allocate aggregated trade orders for multiple client accounts; (iii) provide research, pricing and other market data; (iv) facilitate payment of fees from its clients' accounts; and (v) assist with back-office functions, recordkeeping and client reporting.

Fidelity also offers other services intended to help our firm manage and further develop its advisory practice. Such services include, but are not limited to, performance reporting, financial planning, contact management systems, third party research, publications, access to educational conferences, roundtables and webinars, practice management resources, access to consultants and other third party service providers who provide a wide array of business related services and technology with whom WJW may contract directly. WJW is independently operated and owned and is not affiliated with Fidelity.

Fidelity generally does not charge its advisor clients separately for custody services but is compensated by account holders through commissions and other transaction-related or asset-based fees for securities trades that are executed through Fidelity or that settle into Fidelity accounts (i.e., transactions fees are charged for certain no-load mutual funds, commissions are charged for individual equity and debt securities transactions). Fidelity provides access to many no-load mutual funds without transaction charges and other no-load funds at nominal transaction charges.

As a result of receiving such services for no additional cost, we may have an incentive to continue to use or expand the use of Fidelity's services. We examined this potential conflict of interest when we chose to enter into the relationship with Fidelity and have determined that the relationship is in the best interests of our clients and satisfies our client obligations, including our duty to seek best execution. A client may pay a commission that is higher than another qualified broker-dealer might charge to effect the same transaction where we determine in good faith that the commission is reasonable in relation to the value of the brokerage and research services received. In seeking best execution, the determinative factor is not the lowest possible cost, but whether the transaction represents the best qualitative execution, taking into consideration the full range of a broker-dealer's services, including the value of research provided, execution capability, commission rates, and responsiveness. Accordingly, while WJW will seek competitive rates, to the benefit of all clients, we may not necessarily obtain the lowest possible commission rates for specific client account transactions.

### **Research and Other Soft-Dollar Benefits**

WJW does not have any soft-dollar arrangements and does not receive any soft-dollar benefits.

### **Brokerage for Client Referrals**

WJW does not receive client referrals or any other incentive from any broker-dealer or custodian.

### **Directed Brokerage**

Clients are required to open accounts with Fidelity in order for WJW to manage their accounts for a fee. Not all advisors limit client decisions as to their account custodian.

### **Order Aggregation**

WJW may aggregate ("block") transactions in the same security on behalf of more than one client, in the same investment strategy and housed at the same custodian, to facilitate best execution and to treat all participants in the block equally. Each client in the aggregated order will participate at the average share price for all of WJW's transactions in that trade.

When possible, securities bought or sold in an aggregated transaction are allocated pro-rata to the participating client accounts in proportion to the size of the orders placed for each account. Under certain circumstances, WJW may increase or decrease the amount of securities allocated to each account, if necessary, to avoid holding odd lot or small numbers of shares for particular clients. When WJW is unable to fully execute an aggregated order, WJW will allocate such transactions on a pro-rata basis or in a manner WJW determines, in good faith, to be a fair and equitable allocation. WJW personnel will not receive fills of partial orders until all client orders are filled.

## **Order Aggregation**

WJW may aggregate ("block") transactions in the same security on behalf of more than one client, in the same investment strategy and housed at the same custodian, to facilitate best execution and to treat all participants in the block equally. Each client in the aggregated order will participate at the average share price for all of WJW's transactions in that trade.

When possible, securities bought or sold in an aggregated transaction are allocated pro-rata to the participating client accounts in proportion to the size of the orders placed for each account. Under certain circumstances, WJW may increase or decrease the amount of securities allocated to each account, if necessary, to avoid holding odd lot or small numbers of shares for particular clients. When WJW is unable to fully execute an aggregated order, WJW will allocate such transactions on a pro-rata basis or in a manner WJW determines, in good faith, to be a fair and equitable allocation. WJW personnel will not receive fills of partial orders until all client orders are filled.

## **Mutual Fund Share Class Selection**

Mutual funds generally offer multiple share classes available for investment based upon certain eligibility and/or purchase requirements. For instance, in addition to retail share classes (typically referred to as class A, class B and class C shares), funds may also offer institutional share classes or other share classes that are specifically designed for purchase by investors who meet certain specified eligibility criteria, including, for example, whether an account meets certain minimum dollar amount. Institutional share classes usually have a lower expense ratio than other share classes. When recommending investments in mutual funds, it is our policy to review and consider available share classes. Our policy is to select the most appropriate share classes based on various factors including but not limited to: minimum investment requirements, trading restrictions, internal expense structure, transaction charges, availability, and other factors. When considering all of the appropriate factors, we can select a share class other than the 'lowest cost' share class. To select the most appropriate share class, we consider retail, institutional or other share classes of the same mutual fund. Regardless of such considerations, clients should not assume that they will be invested in the share class with the lowest possible expense ratio. Clients should ask their adviser whether a lower cost share class is available instead of those selected by the Firm. WJW periodically reviews the mutual funds held in client accounts to select the most appropriate share classes considering its duty to obtain best execution.

## **ITEM 13: REVIEW OF ACCOUNTS**

The Portfolio Manager and/or the Senior Investment Analyst reviews investment models at least weekly and rebalances client accounts when asset classes, sub-asset classes, or individual funds become out of tolerance. Once trades are identified and approved by the CIO, the Trading Team rebalances client accounts accordingly. Trades are placed in client accounts more frequently during periods of higher volatility and less frequently in periods of lower volatility. Those clients who participate in our Private Markets Investment Program generally rebalance less frequently as Private Equities, Private Credit, and Private Real Assets tend to be much less volatile compared to the Public Markets. Other managed accounts such as small managed accounts with balances below \$100,000, 529 plans, annuities, and charitable giving plans, for example, are reviewed on an ongoing basis usually quarterly or semiannually, based on predetermined investment model review schedules.

Clients receive statements from their custodian such as Fidelity on a quarterly basis. In addition to these quarterly custodial reports, clients typically receive Investment Review Books semiannually in their Financial Planning Review. During Financial Planning Reviews, Financial Plans are discussed and assumptions are updated accordingly. The client's risk tolerance is discussed usually on an annual basis to make sure their allocation to equities is not too aggressive for their current life situation.

## **ITEM 14: CLIENT REFERRALS AND OTHER COMPENSATION**

The Firm does not pay outside individuals or entities for referring clients.

## **ITEM 15: CUSTODY**

Your funds, securities, and accounts are held by a qualified custodian. We do not take possession of your assets. However, because WJW has the authority to instruct the account custodian to deduct the investment management fee directly from the client's account, WJW is deemed to have a limited form of custody. Custody is defined as having any access to client funds or securities. This limited access is monitored by the client through receipt of account statements directly from the custodian. You will receive at least quarterly account statements from the custodian that holds and maintains your assets. We urge you to compare the account statements you receive from your custodian with those you receive from WJ. These statements show the deduction of the management fee from the account.

Currently, WJW uses Fidelity to hold client investment accounts.

## **ITEM 16: INVESTMENT DISCRETION**

For discretionary accounts, the Firm has full trading authority under a limited power of attorney assigned to WJW. As a result, WJW will determine both the type and amount of each investment that should be purchased or sold on each client's behalf and when trades are to be enacted, without obtaining prior consent or approval from the client.

In limited circumstances, the Firm will manage investment accounts under a non-discretionary agreement, which requires obtaining the client's permission before placing any trade orders.

## **ITEM 17: VOTING CLIENT SECURITIES**

Clients receive proxy material directly from their account custodian by either email or U.S. mail. WJW does not vote proxies for securities held in client accounts and will not be required to take any action or render advice with respect to the voting of proxies. However, WJW may occasionally advise clients (if requested) on proxy matters. Clients are responsible for voting all proxies.

## **ITEM 18: FINANCIAL INFORMATION**

WJW does not require or solicit prepayment of more than \$1,200 in fees per client, six months or more in advance. Therefore, we are not required to include a balance sheet for the most recent fiscal year. We are not subject to a financial condition that is reasonably likely to impair our ability to meet contractual commitments to clients. Finally, WJW has not been the subject of a bankruptcy petition at any time.



**Nicholas A. Johnson,**  
**CFA<sup>®</sup>, CFP<sup>®</sup>**  
April 2026

Willis Johnson Wealth

5847 San Felipe, Ste. 1500  
Houston, TX 77057

713.439.1200

[www.wjohnsonwealth.com](http://www.wjohnsonwealth.com)

This brochure supplement provides information about Nicholas A. Johnson that supplements the Willis Johnson Wealth brochure. You should have received a copy of that brochure. Please contact Nicholas Johnson at 713.439.1200 if you did not receive Willis Johnson Wealth's brochure or if you have any questions about the contents of this supplement.

Additional information about Nicholas Johnson is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

### Nicholas A. Johnson, CFA<sup>®</sup>, CFP<sup>®</sup> born 1986

#### **Business Background:**

Willis Johnson Wealth

- Chief Executive Officer, January 2026 - Present
- Chief Investment Officer, September 2024 - Present
- Investment Adviser Representative, November 2011 - Present
- Chief Compliance Officer, June 2009 - Present
- President, January 2020 - January 2026
- Vice President, June 2009 - December 2019

University of Houston, Adjunct Professor, July 2017 - March 2025

Johnson Bender & Company

- Vice President and Chief Compliance Officer, February 2014 - May 2014
- Associate Advisor, May 2009 - February 2014

FSC Corporation, Registered Representative, August 2007 - January 2018

#### **Education:**

Trinity University, B.S., Finance/Drama, 2009

CFA<sup>®</sup>, 2013

#### Chartered Financial Analyst Charter

To become a CFA charterholder, you must meet education and work experience requirements, pass three exam levels, hold a valid passport, and adhere to professional conduct standards.

#### Education and Work Experience Requirements

To enroll in the CFA Program, candidates must meet one of the following pathways:

1. Bachelor's Degree: Completed or in progress, with the degree finished before registering for Level II. Undergraduate students can take Level I if they are within 23 months of graduation, and Level II if within 11 months of graduation.
2. Professional Work Experience: At least 4,000 hours of professional experience over a minimum of three consecutive years. The experience does not need to be investment-related, and paid internships are acceptable.
3. Combination of Education and Experience: A combination of higher education and professional work experience totaling 4,000 hours over at least three years, accrued sequentially without overlapping. Each year of higher education counts as approximately 1,000 hours of experience.

To learn more about the CFA charter, visit [www.cfainstitute.org](http://www.cfainstitute.org).

#### CFP<sup>®</sup> - Certified Financial Planner

The CERTIFIED FINANCIAL PLANNERTM, CFP<sup>®</sup> and federally registered CFP (with flame design) marks (collectively, the "CFP<sup>®</sup> marks") are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. ("CFP Board").

The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. It is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients.

To attain the right to use the CFP® marks, an individual must satisfactorily fulfill the following requirements:

- Education – Complete an advanced college-level course of study addressing the financial planning subject areas that CFP Board’s studies have determined as necessary for the competent and professional delivery of financial planning services, and attain a bachelor’s degree from a regionally accredited United States college or university (or its equivalent from a foreign university). CFP Board’s financial planning subject areas include insurance planning and risk management, employee benefits planning, investment planning, income tax planning, retirement planning, and estate planning;
- Examination – Pass the comprehensive CFP® Certification Examination. The examination includes case studies and client scenarios designed to test one’s ability to correctly diagnose financial planning issues and apply one’s knowledge of financial planning to real world circumstances;
- Experience – Complete at least three years of full-time financial planning-related experience (or the equivalent, measured as 2,000 hours per year); and
- Ethics – Agree to be bound by CFP Board’s Standards of Professional Conduct, a set of documents outlining the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements in order to maintain the right to continue to use the CFP® marks:

- i. Continuing Education – Complete 30 hours of continuing education hours every two years, including two hours on the Code of Ethics and other parts of the Standards of Professional Conduct, to maintain competence and keep up with developments in the financial planning field; and
- ii. Ethics – Renew an agreement to be bound by the Standards of Professional Conduct. The Standards prominently require that CFP® professionals provide financial planning services at a fiduciary standard of care. This means CFP® professionals must provide financial planning services in the best interests of their clients.

CFP® professionals who fail to comply with the above standards and requirements may be subject to CFP Board’s enforcement process, which could result in suspension or permanent revocation of their CFP® certification.

## **DISCIPLINARY INFORMATION**

There are no legal or disciplinary events that are material to a client’s or prospective client’s evaluation of this advisory business.

## **OTHER BUSINESS ACTIVITIES**

Nicholas Johnson is not engaged in any investment-related business or occupation (other than this advisory firm).

## **ADDITIONAL COMPENSATION**

Nicholas Johnson does not receive economic benefit from any person, company, or organization, other than Willis Johnson Wealth in exchange for providing clients advisory services through Willis Johnson Wealth.

## **SUPERVISION**

Nicholas Johnson is supervised by the firm's Chairman of the Board, Willis Johnson. Willis Johnson is responsible for ensuring that Nicholas Johnson adheres to all required regulations regarding the activities of an Investment Adviser Representative, as well as all policies and procedures outlined in the firm's Code of Ethics and compliance manual. The phone number for Willis Johnson is (713) 439-1200.



**Willis A. Johnson, CFP®**

April 2026

Willis Johnson Wealth

5847 San Felipe, Ste. 1500  
Houston, TX 77057

713.439.1200

[www.wjohnsonwealth.com](http://www.wjohnsonwealth.com)

This brochure supplement provides information about Willis Johnson that supplements the Willis Johnson Wealth brochure. You should have received a copy of that brochure. Please contact Nicholas Johnson at 713.439.1200 if you did not receive Willis Johnson Wealth's brochure or if you have any questions about the contents of this supplement.

Additional information about Willis Johnson is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

### **Willis A. Johnson, CFP<sup>®</sup>, born 1956**

#### **Business Background:**

Willis Johnson Wealth

Chairman of the Board and Director, January 2026 - Present

Chief Executive Officer, January 2020 - January 2026

Investment Advisor Representative - April 2016 - Present

President, July 1996 - January 2020

#### **Education:**

Laredo Junior College, A.A., Business, 1976

University of Houston, B.B.A., Accounting, 1980

#### Certified Financial Planner<sup>®</sup> Certification

The CFP<sup>®</sup> certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP<sup>®</sup> certification. It is recognized in the United States and a number of other countries for its (1) high standard of professional education, (2) stringent code of conduct and standards of practice and (3) ethical requirements that govern professional engagements with clients.

To attain the right to use the CFP<sup>®</sup> marks, an individual must satisfactorily fulfill the following requirements:

- Education – Complete an advanced college-level course of study addressing the financial planning subject areas that CFP Board's studies have determined as necessary.
- Examination – Pass the comprehensive CFP<sup>®</sup> Certification Examination, a 10-hour exam.
- Experience – Complete at least three years of full-time financial planning-related experience (or the equivalent, measured as 2,000 hours per year).
- Ethics – Agree to be bound by CFP Board's Standards of Professional Conduct, a set of documents outlining the ethical and practice standards for CFP<sup>®</sup> professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements in order to maintain the right to continue to use the CFP<sup>®</sup> marks:

- Continuing Education – Complete 30 hours of continuing education hours every two years.
- Ethics – Renew an agreement to be bound by the Standards of Professional Conduct.

CFP<sup>®</sup> professionals who fail to comply with the above standards and requirements may be subject to CFP Board's enforcement process, which could result in suspension or permanent revocation of their CFP<sup>®</sup> certification.

## DISCIPLINARY INFORMATION

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

## **OTHER BUSINESS ACTIVITIES**

Willis Johnson serves as a volunteer member of the Memorial Drive Presbyterian Church Investment Review Board. In this advisory role, he assists with the oversight and review of the external investment manager retained by the church and determines asset allocations for various church accounts from a designated suite of investment options.

## **ADDITIONAL COMPENSATION**

Willis Johnson does not receive economic benefit from any person, company, or organization, other than Willis Johnson Wealth in exchange for providing clients advisory services through Willis Johnson Wealth.

## **SUPERVISION**

As a representative of Willis Johnson Wealth, Willis Johnson is supervised by Nicholas Johnson, the firm's Chief Compliance Officer. Nicholas Johnson is responsible for ensuring that Willis Johnson adheres to all required regulations regarding the activities of an Investment Adviser Representative, as well as all policies and procedures outlined in the firm's Code of Ethics and compliance manual. The phone number for Nicholas Johnson is 713.439.1200.



**Alexis Long, CFP®**

April 2026

Willis Johnson Wealth

5847 San Felipe, Ste. 1500  
Houston, TX 77057

713.439.1200

[www.wjohnsonwealth.com](http://www.wjohnsonwealth.com)

This brochure supplement provides information about Alexis Long that supplements the Willis Johnson Wealth brochure. You should have received a copy of that brochure. Please contact Nicholas Johnson at 713.439.1200 if you did not receive Willis Johnson Wealth's brochure or if you have any questions about the contents of this supplement.

Additional information about Alexis Long is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

### Alexis Long, CFP<sup>®</sup>, born 1982

#### **Business Background:**

Willis Johnson Wealth

Managing Director, Wealth Management, January 2024 - Present

Director of Wealth Management, January 2020 - January 2024

Wealth Manager, June 2017 - January 2020

Associate Wealth Manager, July 2014 - June 2017

FSC Securities Corp., Registered Representative, July 2014 - January 2018

HFG Wealth Management LLC, Paraplanner, March 2013 - July 2014

#### **Education:**

University of Saint Thomas, Master of Business Administration, 2012

Texas Tech University, Bachelor of Business Administration, 2005

#### Certified Financial Planner<sup>®</sup> Certification

The CFP<sup>®</sup> certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP<sup>®</sup> certification. It is recognized in the United States and a number of other countries for its (1) high standard of professional education, (2) stringent code of conduct and standards of practice and (3) ethical requirements that govern professional engagements with clients.

To attain the right to use the CFP<sup>®</sup> marks, an individual must satisfactorily fulfill the following requirements:

- Education – Complete an advanced college-level course of study addressing the financial planning subject areas that CFP Board's studies have determined as necessary.
- Examination – Pass the comprehensive CFP<sup>®</sup> Certification Examination, a 10-hour exam.
- Experience – Complete at least three years of full-time financial planning-related experience (or the equivalent, measured as 2,000 hours per year).
- Ethics – Agree to be bound by CFP Board's Standards of Professional Conduct, a set of documents outlining the ethical and practice standards for CFP<sup>®</sup> professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements in order to maintain the right to continue to use the CFP<sup>®</sup> marks:

- Continuing Education – Complete 30 hours of continuing education hours every two years.
- Ethics – Renew an agreement to be bound by the Standards of Professional Conduct.

CFP<sup>®</sup> professionals who fail to comply with the above standards and requirements may be subject to CFP Board's enforcement process, which could result in suspension or permanent revocation of their CFP<sup>®</sup> certification.

## **DISCIPLINARY INFORMATION**

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

## **OTHER BUSINESS ACTIVITIES**

Alexis Long is not engaged in any investment-related business or occupation (other than this advisory firm).

## **ADDITIONAL COMPENSATION**

Alexis Long does not receive economic benefit from any person, company, or organization, other than Willis Johnson Wealth in exchange for providing clients advisory services through Willis Johnson Wealth. Alexis Long may receive additional economic benefits from Willis Johnson Wealth based on net new assets under the firm's management and for net new assets she brings into the firm.

## **SUPERVISION**

As a representative of Willis Johnson Wealth, Alexis Long is supervised by Nicholas Johnson, the firm's Chief Compliance Officer. Nicholas Johnson is responsible for ensuring that Alexis Long adheres to all required regulations regarding the activities of an Investment Adviser Representative, as well as all policies and procedures outlined in the firm's Code of Ethics and compliance manual. The phone number for Nicholas Johnson is 713.439.1200.



## **Hunter Anguiano**

April 2026

Willis Johnson Wealth

5847 San Felipe, Ste. 1500  
Houston, TX 77057

713.439.1200

[www.wjohnsonwealth.com](http://www.wjohnsonwealth.com)

This brochure supplement provides information about Hunter Anguiano that supplements the Willis Johnson Wealth brochure. You should have received a copy of that brochure. Please contact Nicholas Johnson at 713.439.1200 if you did not receive Willis Johnson Wealth's brochure or if you have any questions about the contents of this supplement.

Additional information about Hunter Anguiano is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

### Hunter Anguiano, born 1994

#### **Business Background:**

Willis Johnson Wealth

Investment Adviser Representative, November 2023 – Present

Client Operations Manager, July 2023 – Present

Senior Client Operations Specialist, July 2022 – July 2023

Client Operations Associate, January 2021 – July 2022

Client Operations Specialist, May 2020 – January 2021

Wealth Management Intern, January 2020 – May 2020

#### **Education:**

University of Houston, Bachelors in Finance - 2020

## DISCIPLINARY INFORMATION

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

## OTHER BUSINESS ACTIVITIES

Hunter Anguiano is not engaged in any investment-related business or occupation (other than this advisory firm).

## ADDITIONAL COMPENSATION

Hunter Anguiano does not receive economic benefit from any person, company, or organization, other than Willis Johnson Wealth in exchange for providing clients advisory services through Willis Johnson Wealth. Hunter Anguiano may receive additional economic benefits from Willis Johnson Wealth based on net new assets under the firm's management.

## SUPERVISION

As a representative of Willis Johnson Wealth, Hunter Anguiano is supervised by Nicholas Johnson, the firm's Chief Compliance Officer. Nicholas Johnson is responsible for ensuring that Hunter Anguiano adheres to all required regulations regarding the activities of an Investment Adviser Representative, as well as all policies and procedures outlined in the firm's Code of Ethics and compliance manual. The phone number for Nicholas Johnson is (713) 439-1200.



## **Cody Bangerter**

April 2026

Willis Johnson Wealth

5847 San Felipe, Ste. 1500  
Houston, TX 77057

713.439.1200

[www.wjohnsonwealth.com](http://www.wjohnsonwealth.com)

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Additional information about Cody Bangerter is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

### **Cody Bangerter, born 2001**

#### **Business Background:**

Willis Johnson Wealth

Investment Adviser Representative, September 2025 – Present

Client Operations Specialist, May 2025 – Present

Intern, January 2025 – May 2025

Brigham Young University - Idaho, Student, September 2019 – April 2025

Beehive Federal Credit Union, Insurance Clerk/Recovery Asst., April 2022 – December 2024

University of Houston Department of Biomedical Engineering, Office Aid,

January 2020 – March 2020

June 2019 – August 2019

#### **Education:**

University of Houston, Bachelors in Finance - 2020

## DISCIPLINARY INFORMATION

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

## OTHER BUSINESS ACTIVITIES

Cody Bangerter is not engaged in any investment-related business or occupation (other than this advisory firm).

## ADDITIONAL COMPENSATION

Cody Bangerter does not receive economic benefit from any person, company, or organization, other than Willis Johnson Wealth in exchange for providing clients advisory services through Willis Johnson Wealth. Cody Bangerter may receive additional economic benefits from Willis Johnson Wealth based on net new assets under the firm's management.

## SUPERVISION

As a representative of Willis Johnson Wealth, Cody Bangerter is supervised by Nicholas Johnson, the firm's Chief Compliance Officer. Nicholas Johnson is responsible for ensuring that Cody Bangerter adheres to all required regulations regarding the activities of an Investment Adviser Representative, as well as all policies and procedures outlined in the firm's Code of Ethics and compliance manual. The phone number for Nicholas Johnson is (713) 439-1200.



**Abrin Berkemeyer, CFP<sup>®</sup>, AIF<sup>®</sup>, CLTC<sup>®</sup>**

April 2026

Willis Johnson Wealth

5847 San Felipe, Ste. 1500  
Houston, TX 77057

713.439.1200

[www.wjohnsonwealth.com](http://www.wjohnsonwealth.com)

This brochure supplement provides information about Abrin Berkemeyer that supplements the Willis Johnson Wealth brochure. You should have received a copy of that brochure. Please contact Nicholas Johnson at 713.439.1200 if you did not receive Willis Johnson Wealth's brochure or if you have any questions about the contents of this supplement.

Additional information about Abrin Berkemeyer is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

### **Abrin Berkemeyer, born 1994**

#### **Business Background:**

Willis Johnson Wealth.

Sr. Wealth Manager / Investment Adviser Representative, May 2025 – Present

Goodman Financial Corporation

Senior Financial Advisor, April 2024 – April 2025

Senior Associate Advisor, November 2022 – April 2024

Associate Advisor, April 2022 – November 2022

RWM Capital, LLC, Investment Adviser Representative, January 2022 – April 2022

Penobscot Wealth Management

Financial Advisor, September 2019 – October 2021

Associate Financial Advisor, September 2017 – September 2019

#### **Education:**

Worcester Polytechnic Institute, Bachelor of Science, Actuarial Mathematics – 2016

#### **Designations:**

CFP® - Certified Financial Planner

The CERTIFIED FINANCIAL PLANNER™, CFP® and federally registered CFP (with flame design) marks (collectively, the “CFP® marks”) are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. (“CFP Board”).

The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. It is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients.

To attain the right to use the CFP® marks, an individual must satisfactorily fulfill the following requirements:

- Education – Complete an advanced college-level course of study addressing the financial planning subject areas that CFP Board’s studies have determined as necessary for the competent and professional delivery of financial planning services, and attain a Bachelor’s Degree from a regionally accredited United States college or university (or its equivalent from a foreign university). CFP Board’s financial planning subject areas include insurance planning and risk management, employee benefits planning, investment planning, income tax planning, retirement planning, and estate planning;
- Examination – Pass the comprehensive CFP® Certification Examination. The examination includes case studies and client scenarios designed to test one’s ability to correctly diagnose financial planning issues and apply one’s knowledge of financial planning to real world circumstances;
- Experience – Complete at least three years of full-time financial planning-related experience (or the equivalent, measured as 2,000 hours per year); and

- Ethics – Agree to be bound by CFP Board’s Standards of Professional Conduct, a set of documents outlining the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements in order to maintain the right to continue to use the CFP® marks:

- I. Continuing Education – Complete 30 hours of continuing education hours every two years, including two hours on the Code of Ethics and other parts of the Standards of Professional Conduct, to maintain competence and keep up with developments in the financial planning field; and
- II. Ethics – Renew an agreement to be bound by the Standards of Professional Conduct. The Standards prominently require that CFP® professionals provide financial planning services at a fiduciary standard of care. This means CFP® professionals must provide financial planning services in the best interests of their clients.

CFP® professionals who fail to comply with the above standards and requirements may be subject to CFP Board’s enforcement process, which could result in suspension or permanent revocation of their CFP® certification.

#### AIF® - Accredited Investment Fiduciary

Accredited Investment Fiduciary Designation is meant for those individuals who are seeking to advance their fiduciary knowledge while also proving themselves as serious fiduciary representatives. To be eligible for the AIF exam a prospective candidate must earn a specific number of points based on the AIF metric. Once the metric is satisfied, the candidate is eligible to sit for the AIF exam. This is a 90-minute, closed book exam that requires a passing score of 75% or better. Candidates pursuing the AIF® designation may prepare in one of 4 ways, a 2-Day Classroom Based Training Program, a Web-based Program, a Capstone program or a Custom program. The curriculum is identical in each of the four formats.

#### CLTC® - Certification in Long-Term Care™

The Certified in Long-Term Care™ designation, is a long-term care planning designation granted by the Corporation for Long-term Care™ Certification to individuals who satisfy educational, work experience, and ethics requirements. Recipients of the CLTC® have completed a rigorous multidisciplinary course and examination that focuses on long-term care. To maintain this designation, the CLTC® must satisfy continuing education requirements and adhere to the CLTC® Code of Professional Responsibility.

## DISCIPLINARY INFORMATION

There are no legal or disciplinary events that are material to a client’s or prospective client’s evaluation of this advisory business.

## OTHER BUSINESS ACTIVITIES

Abrin Berkemeyer is not engaged in any investment-related business or occupation (other than this advisory firm).

## **ADDITIONAL COMPENSATION**

Abrin Berkemeyer does not receive economic benefit from any person, company, or organization, other than Willis Johnson Wealth in exchange for providing clients advisory services through Willis Johnson Wealth. Abrin Berkemeyer may receive additional economic benefits from Willis Johnson Wealth based on net new assets under the firm's management and for net new assets he brings into the firm.

## **SUPERVISION**

As a representative of Willis Johnson Wealth, Abrin Berkemeyer is supervised by Nicholas Johnson, the firm's Chief Compliance Officer. Nicholas Johnson is responsible for ensuring that Abrin Berkemeyer adheres to all required regulations regarding the activities of an Investment Adviser Representative, as well as all policies and procedures outlined in the firm's Code of Ethics and compliance manual. The phone number for Nicholas Johnson is 713.439.1200.



## **Hester (Bekah) Broad, CFP®**

April 2026

Willis Johnson Wealth

5847 San Felipe, Ste. 1500  
Houston, TX 77057

713.439.1200

[www.wjohnsonwealth.com](http://www.wjohnsonwealth.com)

This brochure supplement provides information about Hester Rebekah Broad that supplements the Willis Johnson Wealth brochure. You should have received a copy of that brochure. Please contact Nicholas Johnson at 713.439.1200 if you did not receive Willis Johnson Wealth's brochure or if you have any questions about the contents of this supplement.

Additional information about Hester Rebekah Broad is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

### Hester Rebekah Broad, born 1979

#### **Business Background:**

Willis Johnson Wealth.

Wealth Manager, July 2025 - Present

Investment Adviser Representative, July 2020 - Present

Associate Wealth Manager, July 2020 - Present

AltruVista, Associate Wealth Advisor, June 2016 - May 2020

#### **Education:**

MS Personal Financial Planning, Texas Tech University, 2004

#### Certified Financial Planner®-Certification

The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. It is recognized in the United States and a number of other countries for its (1) high standard of professional education, (2) stringent code of conduct and standards of practice and (3) ethical requirements that govern professional engagements with clients.

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- Examination – Pass the comprehensive CFP® Certification Examination, a 10-hour exam.
- Experience – Complete at least three years of full-time financial planning-related experience (or the equivalent, measured as 2,000 hours per year).
- Ethics – Agree to be bound by CFP Board’s Standards of Professional Conduct, a set of documents outlining the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements in order to maintain the right to continue to use the CFP® marks:

- Continuing Education – Complete 30 hours of continuing education hours every two years.
- Ethics – Renew an agreement to be bound by the Standards of Professional Conduct.

CFP® professionals who fail to comply with the above standards and requirements may be subject to CFP Board’s enforcement process, which could result in suspension or permanent revocation of their CFP® certification.

## DISCIPLINARY INFORMATION

There are no legal or disciplinary events that are material to a client’s or prospective client’s evaluation of this advisory business.

## **OTHER BUSINESS ACTIVITIES**

Hester Rebekah Broad is not engaged in any investment-related business or occupation (other than this advisory firm).

## **ADDITIONAL COMPENSATION**

Hester Rebekah Broad does not receive economic benefit from any person, company, or organization, other than Willis Johnson Wealth in exchange for providing clients advisory services through Willis Johnson Wealth. Hester Rebekah Broad may receive additional economic benefits from Willis Johnson Wealth based on net new assets under the firm's management and for net new assets she brings into the firm.

## **SUPERVISION**

As a representative of Willis Johnson Wealth, Hester Rebekah Broad is supervised by Nick Johnson, the firm's Chief Compliance Officer. Nick Johnson is responsible for ensuring that Hester Rebekah Broad adheres to all required regulations regarding the activities of an Investment Adviser Representative, as well as all policies and procedures outlined in the firm's Code of Ethics and compliance manual. The phone number for Nick Johnson is (713) 439-1200.



**Abigail Carrell, CFP®**

April 2026

Willis Johnson Wealth

5847 San Felipe, Ste. 1500  
Houston, TX 77057

713.439.1200

[www.wjohnsonwealth.com](http://www.wjohnsonwealth.com)

This brochure supplement provides information about Abigail Carrell that supplements the Willis Johnson Wealth brochure. You should have received a copy of that brochure. Please contact Nicholas Johnson at 713.439.1200 if you did not receive Willis Johnson Wealth's brochure or if you have any questions about the contents of this supplement.

Additional information about Abigail Carrell is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

### Abigail Carrell, born 2001

#### **Business Background:**

Willis Johnson Wealth, Inc

Associate Wealth Manager, July 2025 – Present

Investment Adviser Representative, March 2024 – Present

Financial Planning Analyst, August 2024 – July 2025

Client Operations Associate, January 2024 – August 2024

Client Operations Specialist, January 2023 – January 2024

Wealth Management Intern, August 2022 – December 2022

Wealth Management Intern, December 2021 – May 2022

Wealth Management Intern, May 2021 – August 2021

Founders Group, Inc.

Administrative Assistant, June 2022 – August 2022

University of Houston

Front Desk Assistant (Part-time), August 2021 – December 2021

Residential Front Desk Assistant (Part-time), October 2020 – May 2021

H-E-B, Customer Service Associate (Part-time), March 2018 – May 2021

#### **Education:**

Bachelor of Business Administration Finance, University of Houston – 2022

CFP® - Certified Financial Planner

The CERTIFIED FINANCIAL PLANNERTM, CFP® and federally registered CFP (with flame design) marks (collectively, the “CFP® marks”) are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. (“CFP Board”).

The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. It is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients.

To attain the right to use the CFP® marks, an individual must satisfactorily fulfill the following requirements:

- Education – Complete an advanced college-level course of study addressing the financial planning subject areas that CFP Board’s studies have determined as necessary for the competent and professional delivery of financial planning services, and attain a Bachelor’s Degree from a regionally accredited United States college or university (or its equivalent from a foreign university). CFP Board’s financial planning subject areas include insurance planning and risk management, employee benefits planning, investment planning, income tax planning, retirement planning, and estate planning;
- Examination – Pass the comprehensive CFP® Certification Examination. The examination includes case studies and client scenarios designed to test one’s ability to correctly diagnose financial planning issues and apply one’s knowledge of financial planning to real world circumstances;

- Experience – Complete at least three years of full-time financial planning-related experience (or the equivalent, measured as 2,000 hours per year); and
- Ethics – Agree to be bound by CFP Board’s Standards of Professional Conduct, a set of documents outlining the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements in order to maintain the right to continue to use the CFP® marks:

I. Continuing Education – Complete 30 hours of continuing education hours every two years, including two hours on the Code of Ethics and other parts of the Standards of Professional Conduct, to maintain competence and keep up with developments in the financial planning field; and

II. Ethics – Renew an agreement to be bound by the Standards of Professional Conduct. The Standards prominently require that CFP® professionals provide financial planning services at a fiduciary standard of care. This means CFP® professionals must provide financial planning services in the best interests of their clients.

CFP® professionals who fail to comply with the above standards and requirements may be subject to CFP Board’s enforcement process, which could result in suspension or permanent revocation of their CFP® certification.

## **DISCIPLINARY INFORMATION**

There are no legal or disciplinary events that are material to a client’s or prospective client’s evaluation of this advisory business.

## **OTHER BUSINESS ACTIVITIES**

Abigail Carrell is not engaged in any investment-related business or occupation (other than this advisory firm).

## **ADDITIONAL COMPENSATION**

Abigail Carrell does not receive economic benefit from any person, company, or organization, other than Willis Johnson Wealth in exchange for providing clients advisory services through Willis Johnson Wealth. Abigail Carrell may receive additional economic benefits from Willis Johnson Wealth based on net new assets under the firm’s management and for net new assets she brings into the firm.

## **SUPERVISION**

As a representative of Willis Johnson Wealth, Abigail Carrell is supervised by Nick Johnson, the firm’s Chief Compliance Officer. Nick Johnson is responsible for ensuring that Abigail Carrell adheres to all required regulations regarding the activities of an Investment Adviser Representative, as well as all policies and procedures outlined in the firm’s Code of Ethics and compliance manual. The phone number for Nick Johnson is (713) 439-1200.



**Karlee Davis**

April 2026

Willis Johnson Wealth

5847 San Felipe, Ste. 1500  
Houston, TX 77057

713.439.1200

[www.wjohnsonwealth.com](http://www.wjohnsonwealth.com)

This brochure supplement provides information about Karlee Davis that supplements the Willis Johnson Wealth brochure. You should have received a copy of that brochure. Please contact Nicholas Johnson at 713.439.1200 if you did not receive Willis Johnson Wealth's brochure or if you have any questions about the contents of this supplement.

Additional information about Karlee Davis is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

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## EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

### **Karlee Davis, born 2003**

#### **Business Background:**

Willis Johnson Wealth

Investment Adviser Representative, July 2025 – Present

Client Operations Specialist, January 2025 – Present

Tolleson Wealth Management, Intern, June 2024 – August 2024

Helmerich & Payne, Intern, May 2023 – August 2023

#### **Education:**

Texas A&M University, Bachelor of Science-BS, Finance - 2024

## DISCIPLINARY INFORMATION

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

## OTHER BUSINESS ACTIVITIES

Karlee Davis is not engaged in any investment-related business or occupation (other than this advisory firm).

## ADDITIONAL COMPENSATION

Karlee Davis does not receive economic benefit from any person, company, or organization, other than Willis Johnson Wealth in exchange for providing clients advisory services through Willis Johnson Wealth. Karlee Davis may receive additional economic benefits from Willis Johnson Wealth based on net new assets under the firm's management.

## SUPERVISION

As a representative of Willis Johnson Wealth, Karlee Davis is supervised by Nicholas Johnson, the firm's Chief Compliance Officer. Nicholas Johnson is responsible for ensuring that Karlee Davis adheres to all required regulations regarding the activities of an Investment Adviser Representative, as well as all policies and procedures outlined in the firm's Code of Ethics and compliance manual. The phone number for Nicholas Johnson is (713) 439-1200.



**Lucille Elizabeth Gift, CFP®**

April 2026

Willis Johnson Wealth

5847 San Felipe, Ste. 1500  
Houston, TX 77057

713.439.1200

[www.wjohnsonwealth.com](http://www.wjohnsonwealth.com)

This brochure supplement provides information about Lucille Elizabeth Gift that supplements the Willis Johnson Wealth brochure. You should have received a copy of that brochure. Please contact Nicholas Johnson at 713.439.1200 if you did not receive Willis Johnson Wealth's brochure or if you have any questions about the contents of this supplement.

Additional information about Lucille Elizabeth Gift is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

### Lucille Elizabeth Gift, born 1998

#### **Business Background:**

Willis Johnson Wealth

Sr. Trader, January 2024 – Present

Investment Adviser Representative, March 2023 – Present

Associate Trader, August 2023 – January 2024

Investment Operations Associate, January 2022 – August 2023

Client Operations Associate, July 2021 – December 2021

Client Operations Specialist, July 2020 – June 2021

Wealth Management Intern, May 2019 – August 2019

Student, Texas A&M University, August 2017 – May 2020

#### **Education:**

Bachelor of Science Business, Texas A&M University - 2020

#### CFP® - Certified Financial Planner

The CERTIFIED FINANCIAL PLANNER™, CFP® and federally registered CFP (with flame design) marks (collectively, the “CFP® marks”) are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. (“CFP Board”).

The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. It is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients.

To attain the right to use the CFP® marks, an individual must satisfactorily fulfill the following requirements:

- Education – Complete an advanced college-level course of study addressing the financial planning subject areas that CFP Board’s studies have determined as necessary for the competent and professional delivery of financial planning services, and attain a Bachelor’s Degree from a regionally accredited United States college or university (or its equivalent from a foreign university). CFP Board’s financial planning subject areas include insurance planning and risk management, employee benefits planning, investment planning, income tax planning, retirement planning, and estate planning;
- Examination – Pass the comprehensive CFP® Certification Examination. The examination includes case studies and client scenarios designed to test one’s ability to correctly diagnose financial planning issues and apply one’s knowledge of financial planning to real world circumstances;
- Experience – Complete at least three years of full-time financial planning-related experience (or the equivalent, measured as 2,000 hours per year); and
- Ethics – Agree to be bound by CFP Board’s Standards of Professional Conduct, a set of documents outlining the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements in order to maintain the right to continue to use the CFP® marks:

- I. Continuing Education – Complete 30 hours of continuing education hours every two years, including two hours on the Code of Ethics and other parts of the Standards of Professional Conduct, to maintain competence and keep up with developments in the financial planning field; and
- II. Ethics – Renew an agreement to be bound by the Standards of Professional Conduct. The Standards prominently require that CFP® professionals provide financial planning services at a fiduciary standard of care. This means CFP® professionals must provide financial planning services in the best interests of their clients.

CFP® professionals who fail to comply with the above standards and requirements may be subject to CFP Board’s enforcement process, which could result in suspension or permanent revocation of their CFP® certification.

## **DISCIPLINARY INFORMATION**

There are no legal or disciplinary events that are material to a client’s or prospective client’s evaluation of this advisory business.

## **OTHER BUSINESS ACTIVITIES**

Lucille Gift is not engaged in any investment-related business or occupation (other than this advisory firm).

## **ADDITIONAL COMPENSATION**

Lucille Gift does not receive economic benefit from any person, company or organization, other than Willis Johnson Wealth in exchange for providing clients advisory services through Willis Johnson Wealth. Lucille Gift may receive additional economic benefits from Willis Johnson Wealth based on net new assets under the firm’s management.

## **SUPERVISION**

As a representative of Willis Johnson Wealth, Lucille Gift is supervised by Nicholas Johnson, the firm’s President and Chief Compliance Officer. Nicholas Johnson is responsible for ensuring that Lucille Gift adheres to all required regulations regarding the activities of an Investment Adviser Representative, as well as all policies and procedures outlined in the firm’s Code of Ethics and compliance manual. The phone number for Nicholas Johnson is 713.439.1200.



**Adrian Gomez**

April 2026

Willis Johnson Wealth

5847 San Felipe, Ste. 1500  
Houston, TX 77057

713.439.1200

[www.wjohnsonwealth.com](http://www.wjohnsonwealth.com)

This brochure supplement provides information about Adrian Gomez that supplements the Willis Johnson Wealth brochure. You should have received a copy of that brochure. Please contact Nicholas Johnson at 713.439.1200 if you did not receive Willis Johnson Wealth's brochure or if you have any questions about the contents of this supplement.

Additional information about Adrian Gomez is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

### **Adrian Gomez, born 1993**

#### **Business Background:**

Willis Johnson Wealth

Director of Investment Operations and Compliance, April 2026 – Present

Investment Adviser Representative, May 2022 – Present  
Director of Operations, July 2021 – Present

Investment Operations Manager, March 2020 – July 2021

Chilton Capital Management

Investment Operations Specialist, November 2018 – February 2020

The Mitchell Group, Inc.

Investment Operations Specialist, April 2017 – October 2018

#### **Education:**

Texas A&M University, Bachelor of Science Industrial Engineering, 2016

## DISCIPLINARY INFORMATION

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

## OTHER BUSINESS ACTIVITIES

Adrian Gomez is not engaged in any investment-related business or occupation (other than this advisory firm).

## ADDITIONAL COMPENSATION

Adrian Gomez does not receive economic benefit from any person, company, or organization, other than Willis Johnson Wealth in exchange for providing clients advisory services through Willis Johnson Wealth. Adrian Gomez may receive additional economic benefits from Willis Johnson Wealth based on net new assets under the firm's management.

## SUPERVISION

As a representative of Willis Johnson Wealth, Adrian Gomez is supervised by Nicholas Johnson, the firm's Chief Compliance Officer. Nicholas Johnson is responsible for ensuring that Adrian Gomez adheres to all required regulations regarding the activities of an Investment Adviser Representative, as well as all policies and procedures outlined in the firm's Code of Ethics and compliance manual. The phone number for Nicholas Johnson is 713.439.1200.



## **Jacob Hansink**

April 2026

Willis Johnson Wealth

5847 San Felipe, Ste. 1500  
Houston, TX 77057

713.439.1200

[www.wjohnsonwealth.com](http://www.wjohnsonwealth.com)

This brochure supplement provides information about Jacob Hansink that supplements the Willis Johnson Wealth brochure. You should have received a copy of that brochure. Please contact Nicholas Johnson at 713.439.1200 if you did not receive Willis Johnson Wealth's brochure or if you have any questions about the contents of this supplement.

Additional information about Jacob Hansink is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

### **Jacob Hansink, born 1993**

#### **Business Background:**

Willis Johnson Wealth

Investment Adviser Representative, July 2025 – Present

Client Operations, June 2025 – Present

Ford Motor Co., Sales Advisor, May 2022 – January 2023

Underwater Construction Corporation, Tender/Diver, December 2021 – April 2022

Iron Sights Shooting Range, Range Safety/Retail, March 2020 – May 2021

United States Air Force, Aerospace Propulsion Apprentice/Craftsman, July 2012 – June 2018

#### **Education:**

University of Houston, Bachelor of Business Administration – BBA, August 2025

## DISCIPLINARY INFORMATION

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

## OTHER BUSINESS ACTIVITIES

Jacob Hansink is not engaged in any investment-related business or occupation (other than this advisory firm).

## ADDITIONAL COMPENSATION

Jacob Hansink does not receive economic benefit from any person, company, or organization, other than Willis Johnson Wealth in exchange for providing clients advisory services through Willis Johnson Wealth. Jacob Hansink may receive additional economic benefits from Willis Johnson Wealth based on net new assets under the firm's management.

## SUPERVISION

As a representative of Willis Johnson Wealth, Jacob Hansink is supervised by Nicholas Johnson, the firm's Chief Compliance Officer. Nicholas Johnson is responsible for ensuring that Jacob Hansink adheres to all required regulations regarding the activities of an Investment Adviser Representative, as well as all policies and procedures outlined in the firm's Code of Ethics and compliance manual. The phone number for Nicholas Johnson is 713.439.1200.



## **Alicea Hoffman**

April 2026

Willis Johnson Wealth

5847 San Felipe, Ste. 1500  
Houston, TX 77057

713.439.1200

[www.wjohnsonwealth.com](http://www.wjohnsonwealth.com)

This brochure supplement provides information about Alicea Hoffman that supplements the Willis Johnson Wealth brochure. You should have received a copy of that brochure. Please contact Nicholas Johnson at 713.439.1200 if you did not receive Willis Johnson Wealth's brochure or if you have any questions about the contents of this supplement.

Additional information about Alicea Hoffman is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

### **Alicea Hoffman, born 1997**

#### **Business Background:**

Willis Johnson Wealth

Investment Operations Associate, September 2025 – Present

Thrivent Investment Management Inc.

Registered Representative, June 2025 – September 2025

Lance Thompson - Thrivent Financial

Office Professional, February 2022 – September 2025

JobuFit

Public Relations Manager, October 2020 – February 2022

Millennium PetroCapital Corporation

Administrative Asst. & Business Development Mgr., Jan 2021 – Jan 2022

#### **Education:**

Bachelor of Science in Public Relations, Texas State University– August 2020

## DISCIPLINARY INFORMATION

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

## OTHER BUSINESS ACTIVITIES

Alicea Hoffman is not engaged in any investment-related business or occupation (other than this advisory firm).

## ADDITIONAL COMPENSATION

Alicea Hoffman does not receive economic benefit from any person, company, or organization, other than Willis Johnson Wealth in exchange for providing clients advisory services through Willis Johnson Wealth. Alicea Hoffman may receive additional economic benefits from Willis Johnson Wealth based on net new assets under the firm's management.

## SUPERVISION

As a representative of Willis Johnson Wealth, Alicea Hoffman is supervised by Nicholas Johnson, the firm's Chief Compliance Officer. Nicholas Johnson is responsible for ensuring that Alicea Hoffman adheres to all required regulations regarding the activities of an Investment Adviser Representative, as well as all policies and procedures outlined in the firm's Code of Ethics and compliance manual. The phone number for Nicholas Johnson is 713.439.1200.



**Xianyuan (Stella) Hoover, CFA®**

April 2026

Willis Johnson Wealth

5847 San Felipe, Ste. 1500  
Houston, TX 77057

713.439.1200

[www.wjohnsonwealth.com](http://www.wjohnsonwealth.com)

This brochure supplement provides information about Xianyuan Hoover that supplements the Willis Johnson Wealth brochure. You should have received a copy of that brochure. Please contact Nicholas Johnson at 713.439.1200 if you did not receive Willis Johnson Wealth's brochure or if you have any questions about the contents of this supplement.

Additional information about Xianyuan Hoover is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

### **Xianyuan Hoover, born 1985**

#### **Business Background:**

Willis Johnson Wealth

Investment Adviser Representative, August 2024 – Present

Investment Analyst (non-advisory), May 2024 – Present

Senior Investment Analyst, February 2026 – Present

Unemployed, April 2023 – May 2024

Franklin Templeton Investment Mgmt., Associate Administrator, April 2016 – March 2023

Callaway Golf, Personal Assistant, August 2014 – April 2016

#### **Education:**

Donghua University, Bachelor's Degree in Business Administration and Management - 2021

#### Chartered Financial Analyst Charter

The Chartered Financial Analyst (CFA®) charter is a globally respected, graduate-level investment credential established in 1962 and awarded by CFA Institute—the largest global association of investment professionals.

To earn the CFA charter, candidates must: 1) pass three sequential, six-hour examinations; 2) have at least 4,000 hours of relevant experience, completed in a minimum of 36 months; 3) join CFA Institute as members; and 4) commit to abide by, and annually reaffirm, their adherence to the CFA Institute Code of Ethics and Standards of Professional Conduct.

#### **High Ethical Standards**

The CFA Institute Code of Ethics and Standards of Professional Conduct, enforced through an active professional conduct program, require CFA charterholders to:

- Place their clients' interests ahead of their own
- Maintain independence and objectivity
- Act with integrity
- Maintain and improve their professional competence
- Disclose conflicts of interest and legal matters

#### **Global Recognition**

Passing the three CFA exams is a difficult feat that requires extensive study (successful candidates report spending an average of 300 hours of study per level). Earning the CFA charter demonstrates mastery of many of the advanced skills needed for investment analysis and decision making in today's quickly evolving global financial industry. As a result, employers and clients are increasingly seeking CFA charterholders—often making the charter a prerequisite for employment.

#### **Comprehensive and Current Knowledge**

The CFA Program curriculum provides a comprehensive framework of knowledge for investment decision making and is firmly grounded in the knowledge and skills used every day in the investment profession.

The three levels of the CFA Program test a proficiency with a wide range of fundamental and advanced investment topics, including ethical and professional standards, fixed income and equity analysis, alternative and derivative investments, economics, financial reporting standards, portfolio management, and wealth planning.

The CFA Program curriculum is updated every year by experts from around the world to ensure that candidates learn the most relevant and practical new tools, ideas, and investment and wealth management skills to reflect the dynamic and complex nature of the profession.

To learn more about the CFA charter, visit [www.cfainstitute.org](http://www.cfainstitute.org).

## **DISCIPLINARY INFORMATION**

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

## **OTHER BUSINESS ACTIVITIES**

Xianyuan Hoover is not engaged in any investment-related business or occupation (other than this advisory firm).

## **ADDITIONAL COMPENSATION**

Xianyuan Hoover does not receive economic benefit from any person, company, or organization, other than Willis Johnson Wealth in exchange for providing clients advisory services through Willis Johnson Wealth. Xianyuan Hoover may receive additional economic benefits from Willis Johnson Wealth based on net new assets under the firm's management.

## **SUPERVISION**

As a representative of Willis Johnson Wealth, Xianyuan Hoover is supervised by Nicholas Johnson, the firm's Chief Compliance Officer. Nicholas Johnson is responsible for ensuring that Xianyuan Hoover adheres to all required regulations regarding the activities of an Investment Adviser Representative, as well as all policies and procedures outlined in the firm's Code of Ethics and compliance manual. The phone number for Nicholas Johnson is (713) 439-1200.



**Tyler Leal, CFP®**

April 2026

Willis Johnson Wealth

5847 San Felipe, Ste. 1500  
Houston, TX 77057

713.439.1200

[www.wjohnsonwealth.com](http://www.wjohnsonwealth.com)

This brochure supplement provides information about Tyler Leal that supplements the Willis Johnson Wealth brochure. You should have received a copy of that brochure. Please contact Nicholas Johnson at 713.439.1200 if you did not receive Willis Johnson Wealth's brochure or if you have any questions about the contents of this supplement.

Additional information about Tyler Leal is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

### **Tyler Leal, born 2000**

#### **Business Background:**

Willis Johnson Wealth  
Wealth Manager, March 2026 – Present  
Investment Adviser Representative, April 2026 – Present

Corient Services LLC.  
Associate Wealth Advisor, July 2023 – April 2026

Corient  
Associate Wealth Advisor, January 2023 – April 2026

CIPW Service Company, LLC  
Associate Wealth Advisor, January 2023 – July 2023

Stavis & Cohen Private Wealth, LLC  
Associate – Private Wealth Team, December 2021 – March 2023

Purshe Kaplan Sterling Investments  
Registered Representative, July 2022 – December 2022

FSC Securities Corporation  
Registered Assistant, December 2021 – July 2022

MML Investors Services, LLC  
Registered Representative, April 2021 – December 2021

MassMutual Life Insurance Co  
Agent, April 2021 – December 2021

Financial Planning Intern, June 2020 – December 2021

#### **Education:**

Bachelor of Science Business, Texas A&M University - 2020

CFP® - Certified Financial Planner

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- Education – Complete an advanced college-level course of study addressing the financial planning subject areas that CFP Board’s studies have determined as necessary for the competent and professional delivery of financial planning services, and attain a Bachelor’s Degree from a regionally accredited United States college or university (or its equivalent from a foreign university). CFP Board’s financial planning subject areas include insurance planning and risk management, employee benefits planning, investment planning, income tax planning, retirement planning, and estate planning;

- Examination – Pass the comprehensive CFP® Certification Examination. The examination includes case studies and client scenarios designed to test one’s ability to correctly diagnose financial planning issues and apply one’s knowledge of financial planning to real world circumstances;
- Experience – Complete at least three years of full-time financial planning-related experience (or the equivalent, measured as 2,000 hours per year); and
- Ethics – Agree to be bound by CFP Board’s Standards of Professional Conduct, a set of documents outlining the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements in order to maintain the right to continue to use the CFP® marks:

- I. Continuing Education – Complete 30 hours of continuing education hours every two years, including two hours on the Code of Ethics and other parts of the Standards of Professional Conduct, to maintain competence and keep up with developments in the financial planning field; and
- II. Ethics – Renew an agreement to be bound by the Standards of Professional Conduct. The Standards prominently require that CFP® professionals provide financial planning services at a fiduciary standard of care. This means CFP® professionals must provide financial planning services in the best interests of their clients.

CFP® professionals who fail to comply with the above standards and requirements may be subject to CFP Board’s enforcement process, which could result in suspension or permanent revocation of their CFP® certification.

Certified Financial Planner Board of Standards Center for Financial Planning, Inc. owns and licenses the certification marks CFP®, CERTIFIED FINANCIAL PLANNER®, and CFP® (with plaque design) in the United States to Certified Financial Planner Board of Standards, Inc., which authorizes individuals who successfully complete the organization’s initial and ongoing certification requirements to use the certification marks.

#### EA - Enrolled Agent

o Written Examination: You can become an enrolled agent by demonstrating special competence in tax matters by taking a written examination. This track requires that you:

- o Apply to take the Special Enrollment Examination (SEE)
- o Achieve passing scores on all parts of the SEE;
- o Apply for enrollment; and
- o Pass a background check to ensure that you have not engaged in any conduct that would justify the suspension or disbarment of an attorney, CPA, or enrolled agent from practice before the IRS.

o IRS Experience: You can become an enrolled agent by virtue of past service and technical experience with the IRS that qualifies you for enrollment. This track requires that you:

- o Possess the years of past service and technical experience specified in Circular 230;
- o Apply for enrollment; and
- o Pass a background check to ensure that you have not engaged in any conduct that would justify the suspension or disbarment of an attorney, CPA, or enrolled agent from practice before the IRS.

## **DISCIPLINARY INFORMATION**

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

## **OTHER BUSINESS ACTIVITIES**

Tyler Leal is not engaged in any investment-related business or occupation (other than this advisory firm).

## **ADDITIONAL COMPENSATION**

Tyler Leal does not receive economic benefit from any person, company or organization, other than Willis Johnson Wealth in exchange for providing clients advisory services through Willis Johnson Wealth. Tyler Leal may receive additional economic benefits from Willis Johnson Wealth based on net new assets under the firm's management.

## **SUPERVISION**

As a representative of Willis Johnson Wealth, Tyler Leal is supervised by Nicholas Johnson, the firm's President and Chief Compliance Officer. Nicholas Johnson is responsible for ensuring that Tyler Leal adheres to all required regulations regarding the activities of an Investment Adviser Representative, as well as all policies and procedures outlined in the firm's Code of Ethics and compliance manual. The phone number for Nicholas Johnson is 713.439.1200.



**Mitchell LeRoy, CFP®**

April 2026

Willis Johnson Wealth

5847 San Felipe, Ste. 1500  
Houston, TX 77057

713.439.1200

[www.wjohnsonwealth.com](http://www.wjohnsonwealth.com)

This brochure supplement provides information about Mitchell LeRoy that supplements the Willis Johnson Wealth brochure. You should have received a copy of that brochure. Please contact Nicholas Johnson at 713.439.1200 if you did not receive Willis Johnson Wealth's brochure or if you have any questions about the contents of this supplement.

Additional information about Mitchell LeRoy is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

### **Mitchell LeRoy, born 1995**

#### **Business Background:**

Willis Johnson Wealth

Wealth Manager, July 2025 - Present

Investment Adviser Representative, November 2020 - Present

Associate Wealth Manager, July 2021 - July 2025

Financial Planning Analyst, June 2020 - July 2021

Unemployed, February 2020 - May 2020

Vaughn Wealth Management, Paraplanner, February 2018 - February 2020

#### **Education:**

University of Wisconsin-Madison, Bachelor of Science Personal Finance, 2017

#### Certified Financial Planner® Certification

The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. It is recognized in the United States and a number of other countries for its (1) high standard of professional education, (2) stringent code of conduct and standards of practice and (3) ethical requirements that govern professional engagements with clients.

To attain the right to use the CFP® marks, an individual must satisfactorily fulfill the following requirements:

- Education – Complete an advanced college-level course of study addressing the financial planning subject areas that CFP Board’s studies have determined as necessary.
- Examination – Pass the comprehensive CFP® Certification Examination, a 10-hour exam.
- Experience – Complete at least three years of full-time financial planning-related experience (or the equivalent, measured as 2,000 hours per year).
- Ethics – Agree to be bound by CFP Board’s Standards of Professional Conduct, a set of documents outlining the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements in order to maintain the right to continue to use the CFP® marks:

- Continuing Education – Complete 30 hours of continuing education hours every two years.
- Ethics – Renew an agreement to be bound by the Standards of Professional Conduct.

CFP® professionals who fail to comply with the above standards and requirements may be subject to CFP Board’s enforcement process, which could result in suspension or permanent revocation of their CFP® certification.

## **DISCIPLINARY INFORMATION**

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

## **OTHER BUSINESS ACTIVITIES**

Mitchell LeRoy is not engaged in any investment-related business or occupation (other than this advisory firm).

## **ADDITIONAL COMPENSATION**

Mitchell LeRoy does not receive economic benefit from any person, company, or organization, other than Willis Johnson Wealth in exchange for providing clients advisory services through Willis Johnson Wealth. Mitchell LeRoy may receive additional economic benefits from Willis Johnson Wealth based on net new assets under the firm's management and for net new assets he brings into the firm.

## **SUPERVISION**

As a representative of Willis Johnson Wealth, Mitchell LeRoy is supervised by Nicholas Johnson, the firm's President and Chief Compliance Officer. Nicholas Johnson is responsible for ensuring that Mitchell LeRoy adheres to all required regulations regarding the activities of an Investment Adviser Representative, as well as all policies and procedures outlined in the firm's Code of Ethics and compliance manual. The phone number for Nicholas Johnson is 713.439.1200.



**Robert W. Lowerre, AIF®**

April 2026

Willis Johnson Wealth

5847 San Felipe, Ste. 1500  
Houston, TX 77057

713.439.1200

[www.wjohnsonwealth.com](http://www.wjohnsonwealth.com)

This brochure supplement provides information about Robert W. Lowerre that supplements the Willis Johnson Wealth brochure. You should have received a copy of that brochure. Please contact Nicholas Johnson at 713.439.1200 if you did not receive Willis Johnson Wealth's brochure or if you have any questions about the contents of this supplement.

Additional information about Robert W. Lowerre is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

### **Robert W. Lowerre, born 1976**

#### **Business Background:**

Willis Johnson Wealth

Portfolio Manager, July 2020 - Present

Senior Investment Analyst, June 2014 - July 2020

Johnson Bender & Company, Senior Investment Analyst, January 2005 - May 2014 FSC Securities Corporation, Registered Representative, January 2005 - January 2018

#### **Education:**

Houston Baptist University, B.A., Business/Finance, 2002

#### **Designations:**

AIF<sup>®</sup> - Accredited Investment Fiduciary

Accredited Investment Fiduciary Designation is meant for those individuals who are seeking to advance their fiduciary knowledge while also proving themselves as serious fiduciary representatives. To be eligible for the AIF exam a prospective candidate must earn a specific number of points based on the AIF metric. Once the metric is satisfied, the candidate is eligible to sit for the AIF exam. This is a 90-minute, closed book exam that requires a passing score of 75% or better. Candidates pursuing the AIF<sup>®</sup> designation may prepare in one of 4 ways, a 2-Day Classroom Based Training Program, a Web-based Program, a Capstone program or a Custom program. The curriculum is identical in each of the four formats.

## DISCIPLINARY INFORMATION

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

## OTHER BUSINESS ACTIVITIES

None. Robert Lowerre is not engaged in any investment-related business or occupation (other than this advisory firm).

## ADDITIONAL COMPENSATION

Robert Lowerre does not receive economic benefit from any person, company, or organization, other than Willis Johnson Wealth in exchange for providing clients advisory services through Willis Johnson Wealth. Robert Lowerre may receive additional economic benefits from Willis Johnson Wealth based on net new assets under the firm's management.

## SUPERVISION

As a representative of Willis Johnson Wealth, Robert Lowerre is supervised by Nicholas Johnson, the firm's Chief Compliance Officer. Nicholas Johnson is responsible for ensuring that Robert Lowerre adheres to all required regulations regarding the activities of an Investment Adviser Representative, as well as all policies and procedures outlined in the firm's Code of Ethics and compliance manual. The phone number for Nicholas Johnson is (713) 439-1200.



**Logan Mulloy, CFP®**

April 2026

Willis Johnson Wealth

5847 San Felipe, Ste. 1500  
Houston, TX 77057

713.439.1200

[www.wjohnsonwealth.com](http://www.wjohnsonwealth.com)

This brochure supplement provides information about Logan Mulloy that supplements the Willis Johnson Wealth brochure. You should have received a copy of that brochure. Please contact Nicholas Johnson at 713.439.1200 if you did not receive Willis Johnson Wealth's brochure or if you have any questions about the contents of this supplement.

Additional information about Logan Mulloy is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

### Logan Mulloy, born 1999

#### **Business Background:**

Willis Johnson Wealth

Associate Wealth Manager, February 2025 – Present

Financial Planning Analyst, August 2023 – January 2025

Investment Adviser Representative, February 2023–Present

Client Operations Specialist, June 2022 – August 2023

Evidence Wealth, Intern, January 2022 – May 2022

Student, July 2018 – April 2022

Raymond James, Wealth Management Intern, May 2020 – December 2021

#### **Education:**

Bachelor of Science Agricultural Economics, Texas A&M University – 2022

CFP® - Certified Financial Planner

The CERTIFIED FINANCIAL PLANNER™, CFP® and federally registered CFP (with flame design) marks (collectively, the “CFP® marks”) are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. (“CFP Board”).

The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. It is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients.

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- Education – Complete an advanced college-level course of study addressing the financial planning subject areas that CFP Board’s studies have determined as necessary for the competent and professional delivery of financial planning services, and attain a Bachelor’s Degree from a regionally accredited United States college or university (or its equivalent from a foreign university). CFP Board’s financial planning subject areas include insurance planning and risk management, employee benefits planning, investment planning, income tax planning, retirement planning, and estate planning;
- Examination – Pass the comprehensive CFP® Certification Examination. The examination includes case studies and client scenarios designed to test one’s ability to correctly diagnose financial planning issues and apply one’s knowledge of financial planning to real world circumstances;
- Experience – Complete at least three years of full-time financial planning-related experience (or the equivalent, measured as 2,000 hours per year); and
- Ethics – Agree to be bound by CFP Board’s Standards of Professional Conduct, a set of documents outlining the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements in order to maintain the right to continue to use the CFP® marks:

- I. Continuing Education – Complete 30 hours of continuing education hours every two years, including two hours on the Code of Ethics and other parts of the Standards of Professional Conduct, to maintain competence and keep up with developments in the financial planning field; and
- II. Ethics – Renew an agreement to be bound by the Standards of Professional Conduct. The Standards prominently require that CFP® professionals provide financial planning services at a fiduciary standard of care. This means CFP® professionals must provide financial planning services in the best interests of their clients.

CFP® professionals who fail to comply with the above standards and requirements may be subject to CFP Board’s enforcement process, which could result in suspension or permanent revocation of their CFP® certification.

## **DISCIPLINARY INFORMATION**

There are no legal or disciplinary events that are material to a client’s or prospective client’s evaluation of this advisory business.

## **OTHER BUSINESS ACTIVITIES**

Logan Mulloy is not engaged in any investment-related business or occupation (other than this advisory firm).

## **ADDITIONAL COMPENSATION**

Logan Mulloy does not receive economic benefit from any person, company, or organization, other than Willis Johnson Wealth in exchange for providing clients advisory services through Willis Johnson Wealth. Logan Mulloy may receive additional economic benefits from Willis Johnson Wealth based on net new assets under the firm’s management and for net new assets he brings into the firm.

## **SUPERVISION**

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**Jeffrey Riley, CFP<sup>®</sup>, CLU<sup>®</sup>**

April 2026

Willis Johnson Wealth

5847 San Felipe, Ste. 1500  
Houston, TX 77057

713.439.1200

[www.wjohnsonwealth.com](http://www.wjohnsonwealth.com)

This brochure supplement provides information about Jeffrey Riley that supplements the Willis Johnson Wealth brochure. You should have received a copy of that brochure. Please contact Nicholas Johnson at 713.439.1200 if you did not receive Willis Johnson Wealth's brochure or if you have any questions about the contents of this supplement.

Additional information about Jeffrey Riley is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

### Jeffrey Riley, born 1977

#### Business Background:

Willis Johnson Wealth

Sr. Wealth Manager, Investment Adviser Representative, May 2025 – Present

The Mather Group, LLC

Director, Wealth Management, September 2020 – May 2025

Partnersinwealth, Inc, Financial Planner, July 2015 – September 2020

Pruco Securities, LLC, Registered Representative, July 2010 – July 2015

The Prudential Insurance Co of America, Financial Professional, July 2010 – July 2015

Western & Southern Financial, Manager, January 2007 – July 2010

#### Education:

Western Michigan University, Haworth College of Business

Bachelor of Business Administration, 2021

#### Designations:

CFP® - Certified Financial Planner

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- Examination – Pass the comprehensive CFP® Certification Examination. The examination includes case studies and client scenarios designed to test one’s ability to correctly diagnose financial planning issues and apply one’s knowledge of financial planning to real world circumstances;
- Experience – Complete at least three years of full-time financial planning-related experience (or the equivalent, measured as 2,000 hours per year); and
- Ethics – Agree to be bound by CFP Board’s Standards of Professional Conduct, a set of documents outlining the ethical and practice standards for CFP® professionals.

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- II. Ethics – Renew an agreement to be bound by the Standards of Professional Conduct. The Standards prominently require that CFP® professionals provide financial planning services at a fiduciary standard of care. This means CFP® professionals must provide financial planning services in the best interests of their clients.

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CLU® - Chartered Life Underwriter™

The Chartered Life Underwriter™ (CLU®) is a designation of insurance expertise, helping gain a significant advantage in a competitive market. This course of study helps by providing in-depth knowledge of the insurance needs of individuals, business owners, and professional clients.

Program Learning Objectives:

- Provide guidance to clients on types and amounts of life insurance needed
- Make recommendations on aspects of risk management, including personal and business uses of a variety of insurance solutions
- Provide guidance to clients on legal aspects of life insurance contracts and beneficiaries
- Assist clients in making decisions about estate planning, including the proper holding of assets and title to assets, as well as the implications of various wills and trust arrangements on financial, retirement and succession planning issues
- Provide a holistic and comprehensive approach to addressing the insurance planning needs of their clients

## DISCIPLINARY INFORMATION

There are no legal or disciplinary events that are material to a client’s or prospective client’s evaluation of this advisory business.

## OTHER BUSINESS ACTIVITIES

Jeffrey Riley is not engaged in any investment-related business or occupation (other than this advisory firm).

## **ADDITIONAL COMPENSATION**

Jeffrey Riley does not receive economic benefit from any person, company, or organization, other than Willis Johnson Wealth in exchange for providing clients advisory services through Willis Johnson Wealth. Jeffrey Riley may receive additional economic benefits from Willis Johnson Wealth based on net new assets under the firm's management and for net new assets he brings into the firm.

## **SUPERVISION**

As a representative of Willis Johnson Wealth, Jeffrey Riley is supervised by Nicholas Johnson, the firm's Chief Compliance Officer. Nicholas Johnson is responsible for ensuring that Jeffrey Riley adheres to all required regulations regarding the activities of an Investment Adviser Representative, as well as all policies and procedures outlined in the firm's Code of Ethics and compliance manual. The phone number for Nicholas Johnson is 713.439.1200.



**Cameron Sauer, CFP®**

April 2026

Willis Johnson Wealth

5847 San Felipe, Ste. 1500  
Houston, TX 77057

713.439.1200

[www.wjohnsonwealth.com](http://www.wjohnsonwealth.com)

This brochure supplement provides information about Cameron Sauer that supplements the Willis Johnson Wealth brochure. You should have received a copy of that brochure. Please contact Nicholas Johnson at 713.439.1200 if you did not receive Willis Johnson Wealth's brochure or if you have any questions about the contents of this supplement.

Additional information about Cameron Sauer is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

### **Cameron Sauer, born 1998**

#### **Business Background:**

Willis Johnson Wealth

Associate Wealth Manager, January 2026 - Present

Investment Adviser Representative, April 2024 - Present

Financial Planning Analyst, April 2024 - Present

Raymond James, Branch Associate, February 2021 - April 2024

Student - August 2004 - May 2021

#### **Education:**

Bachelor of Science Economics, Texas A&M University - 2021

#### **Designations:**

CFP® - Certified Financial Planner

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- Examination – Pass the comprehensive CFP® Certification Examination. The examination includes case studies and client scenarios designed to test one’s ability to correctly diagnose financial planning issues and apply one’s knowledge of financial planning to real world circumstances;
- Experience – Complete at least three years of full-time financial planning-related experience (or the equivalent, measured as 2,000 hours per year); and
- Ethics – Agree to be bound by CFP Board’s Standards of Professional Conduct, a set of documents outlining the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements in order to maintain the right to continue to use the CFP® marks:

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- II. Ethics – Renew an agreement to be bound by the Standards of Professional Conduct. The Standards prominently require that CFP® professionals provide financial planning services at a fiduciary standard of care. This means CFP® professionals must provide financial planning services in the best interests of their clients.

CFP® professionals who fail to comply with the above standards and requirements may be subject to CFP Board’s enforcement process, which could result in suspension or permanent revocation of their CFP® certification.

## **DISCIPLINARY INFORMATION**

There are no legal or disciplinary events that are material to a client’s or prospective client’s evaluation of this advisory business.

## **OTHER BUSINESS ACTIVITIES**

Cameron Sauer is not engaged in any investment-related business or occupation (other than this advisory firm).

## **ADDITIONAL COMPENSATION**

Cameron Sauer does not receive economic benefit from any person, company, or organization other than Willis Johnson Wealth in exchange for providing clients advisory services through Willis Johnson Wealth. Cameron Sauer may receive additional economic benefits from Willis Johnson Wealth based on net new assets under the firm’s management and for net new assets he brings into the firm.

## **SUPERVISION**

As a representative of Willis Johnson Wealth, Cameron Sauer is supervised by Nick Johnson, the firm's Chief Compliance Officer. Nick Johnson is responsible for ensuring that Cameron Sauer adheres to all required regulations regarding the activities of an Investment Adviser Representative, as well as all policies and procedures outlined in the firm’s Code of Ethics and compliance manual. The phone number for Nick Johnson is 713.439.1200.



**John Kenneth Siegel, CFP®**

April 2026

Willis Johnson Wealth

5847 San Felipe, Ste. 1500  
Houston, TX 77057

713.439.1200

[www.wjohnsonwealth.com](http://www.wjohnsonwealth.com)

This brochure supplement provides information about John Kenneth Siegel that supplements the Willis Johnson Wealth brochure. You should have received a copy of that brochure. Please contact Nicholas Johnson at 713.439.1200 if you did not receive Willis Johnson Wealth's brochure or if you have any questions about the contents of this supplement.

Additional information about John Kenneth Siegel is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

### **John Kenneth Siegel, born 1967**

#### **Business Background:**

Willis Johnson Wealth

Sr. Wealth Manager, January 2024 – Present

Investment Adviser Representative, October 2018 – Present

Wealth Manager, October 2018 – January 2024

#### **Education:**

Macalester College, B.A. Dramatic Arts, 1990

#### Certified Financial Planner® Certification

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- Education – Complete an advanced college-level course of study addressing the financial planning subject areas that CFP Board's studies have determined as necessary.
- Examination – Pass the comprehensive CFP® Certification Examination, a 10-hour exam.
- Experience – Complete at least three years of full-time financial planning-related experience (or the equivalent, measured as 2,000 hours per year).
- Ethics – Agree to be bound by CFP Board's Standards of Professional Conduct, a set of documents outlining the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements in order to maintain the right to continue to use the CFP® marks:

- Continuing Education – Complete 30 hours of continuing education hours every two years.
- Ethics – Renew an agreement to be bound by the Standards of Professional Conduct.

CFP® professionals who fail to comply with the above standards and requirements may be subject to CFP Board's enforcement process, which could result in suspension or permanent revocation of their CFP® certification.

#### EA - Enrolled Agent

- Written Examination: You can become an enrolled agent by demonstrating special competence in tax matters by taking a written examination. This track requires that you:
- Apply to take the Special Enrollment Examination (SEE)
- Achieve passing scores on all parts of the SEE;
- Apply for enrollment; and

- Pass a background check to ensure that you have not engaged in any conduct that would justify the suspension or disbarment of an attorney, CPA, or enrolled agent from practice before the IRS.
- IRS Experience: You can become an enrolled agent by virtue of past service and technical experience with the IRS that qualifies you for enrollment. This track requires that you:
  - Possess the years of past service and technical experience specified in Circular 230;
  - Apply for enrollment; and
  - Pass a background check to ensure that you have not engaged in any conduct that would justify the suspension or disbarment of an attorney, CPA, or enrolled agent from practice before the IRS.
  - Continuing Education – Complete 72 hours of continuing education hours every three years.

## **DISCIPLINARY INFORMATION**

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

## **OTHER BUSINESS ACTIVITIES**

John Kenneth Siegel is a volunteer Director of the St. Stephen's Endowment Fund, a church endowment. As a board member, he oversees the external investment manager, distribution decisions, development actions, and IRS reporting compliance.

## **ADDITIONAL COMPENSATION**

John Kenneth Siegel does not receive economic benefit from any person, company, or organization, other than Willis Johnson Wealth in exchange for providing clients advisory services through Willis Johnson Wealth. John Kenneth Siegel may receive additional economic benefits from Willis Johnson Wealth based on net new assets under the firm's management and for net new assets he brings into the firm.

## **SUPERVISION**

As a representative of Willis Johnson Wealth, John Kenneth Siegel is supervised by Nicholas Johnson, the firm's Chief Compliance Officer. Nicholas Johnson is responsible for ensuring that John Kenneth Siegel adheres to all required regulations regarding the activities of an Investment Adviser Representative, as well as all policies and procedures outlined in the firm's Code of Ethics and compliance manual. The phone number for Nicholas Johnson is 713.439.1200.



**Sarah Gilligan Sikorski, CPA/PFS, CFP®**

April 2026

Willis Johnson Wealth

5847 San Felipe, Ste. 1500  
Houston, TX 77057

713.439.1200

[www.wjohnsonwealth.com](http://www.wjohnsonwealth.com)

This brochure supplement provides information about Sarah Gilligan Sikorski that supplements the Willis Johnson Wealth brochure. You should have received a copy of that brochure. Please contact Nicholas Johnson at 713.439.1200 if you did not receive Willis Johnson Wealth's brochure or if you have any questions about the contents of this supplement.

Additional information about Sarah Gilligan Sikorski is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

### **Sarah Gilligan Sikorski, born 1991**

#### **Business Background:**

Willis Johnson Wealth

Director, Wealth Management, January 2024 – Present

Investment Adviser Representative, July 2020 – Present

Wealth Manager, July 2022 – January 2024

Associate Wealth Manager, July 2020 – 07/2022

Deloitte, Engagement Financial Advisor, April 2017 – July 2020

PricewaterhouseCoopers, Assurance Associate, August 2014 – March 2017

#### **Education:**

Master of Science Accounting, Southern Methodist University, 2014

Bachelor of Science Accounting, Southern Methodist University, 2013

### **CPA/PFS - Certified Public Accountant/Personal Financial Specialist**

- CPAs are licensed and regulated by their state boards of accountancy. While state laws and regulations vary, the education, experience and testing requirements for licensure as a CPA generally include minimum college education (typically 150 credit hours with at least a baccalaureate degree and a concentration in accounting), minimum experience levels (most states require at least one year of experience providing services that involve the use of accounting, attest, compilation, management advisory, financial advisory, tax or consulting skills, all of which must be achieved under the supervision of or verification by a CPA), and successful passage of the Uniform CPA Examination.
- In order to maintain a CPA license, states generally require the completion of 40 hours of continuing professional education (CPE) each year (or 80 hours over a two year period or 120 hours over a three year period). Additionally, all American Institute of Certified Public Accountants (AICPA) members are required to follow a rigorous Code of Professional Conduct which requires that they act with integrity, objectivity, due care, competence, fully disclose any conflicts of interest (and obtain client consent if a conflict exists), maintain client confidentiality, disclose to the client any commission or referral fees, and serve the public interest when providing financial services.
- In addition to the Code of Professional Conduct, AICPA members who provide personal financial planning services are required to follow the Statement on Standards in Personal Financial Planning Services (SSPFPS).
- CPA - Certified Public Accountant
- CPAs are licensed and regulated by their state boards of accountancy. While state laws and regulations vary, the education, experience and testing requirements for licensure as a CPA generally include minimum college education (typically 150 credit hours with at least a baccalaureate degree and a concentration in accounting), minimum experience levels (most states require at least one year of experience providing services that involve the use of accounting, attest, compilation, management advisory, financial advisory, tax or consulting skills, all of which must be achieved under the supervision of or verification by a CPA), and successful passage of the Uniform CPA Examination.
- In order to maintain a CPA license, states generally require the completion of 40 hours of continuing professional education (CPE) each year (or 80 hours over a two year period or 120 hours over a three year period). Additionally, all American Institute of Certified Public Accountants (AICPA) members are required to follow a rigorous Code

of Professional Conduct which requires that they act with integrity, objectivity, due care, competence, fully disclose any conflicts of interest (and obtain client consent if a conflict exists), maintain client confidentiality, disclose to the client any commission or referral fees, and serve the public interest when providing financial services.

- In addition to the Code of Professional Conduct, AICPA members who provide personal financial planning services are required to follow the Statement on Standards in Personal Financial Planning Services (SSPFPS).
- In order to earn the PFS designation, candidates must hold an active CPA license, complete specific educational requirements related to personal financial planning, have a minimum of two years of full-time professional experience in personal financial planning and pass a comprehensive examination that covers various areas of financial planning, including estate planning, retirement planning, investments, and insurance.

## **CFP® - Certified Financial Planner**

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- ii. Ethics – Renew an agreement to be bound by the Standards of Professional Conduct. The Standards prominently require that CFP® professionals provide financial planning

services at a fiduciary standard of care. This means CFP® professionals must provide financial planning services in the best interests of their clients.

## **DISCIPLINARY INFORMATION**

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

## **OTHER BUSINESS ACTIVITIES**

Sarah Sikorski is not engaged in any investment-related business or occupation (other than this advisory firm).

## **ADDITIONAL COMPENSATION**

Sarah Sikorski does not receive economic benefit from any person, company, or organization, other than Willis Johnson Wealth in exchange for providing clients advisory services through Willis Johnson Wealth. Sarah Sikorski may receive additional economic benefits from Willis Johnson Wealth based on net new assets under the firm's management and for net new assets she brings into the firm.

## **SUPERVISION**

As a representative of Willis Johnson Wealth, Sarah Sikorski is supervised by Nick Johnson, the firm's Chief Compliance Officer. Nick Johnson is responsible for ensuring that Sarah Sikorski adheres to all required regulations regarding the activities of an Investment Adviser Representative, as well as all policies and procedures outlined in the firm's Code of Ethics and compliance manual. The phone number for Nick Johnson is (713) 439-1200.



## **Yerassyl (Rassyl) Sundetbayev, CFP®**

April 2026

Willis Johnson Wealth

5847 San Felipe, Ste. 1500  
Houston, TX 77057

713.439.1200

[www.wjohnsonwealth.com](http://www.wjohnsonwealth.com)

This brochure supplement provides information about Yerassyl Sundetbayev that supplements the Willis Johnson Wealth brochure. You should have received a copy of that brochure. Please contact Nicholas Johnson at 713.439.1200 if you did not receive Willis Johnson Wealth's brochure or if you have any questions about the contents of this supplement.

Additional information about Yerassyl Sundetbayev is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

### **Yerassyl Sundetbayev, born 1992**

#### **Business Background:**

Willis Johnson Wealth

Investment Adviser Representative, January 2025 – Present

Associate Wealth Manager, January 2025 – Present

Ernst and Young, LLP, Senior Financial Planner, December 2020 – January 2025

First Command Financial Services, Financial Advisor, February 2020 – December 2020

US Army, Enlisted Soldier, April 2016 – April 2020

#### **Education:**

University of Maryland Global Campus, Bachelors in Finance – 2019

#### **Designations:**

#### **CFP® - Certified Financial Planner**

The CERTIFIED FINANCIAL PLANNER™, CFP® and federally registered CFP (with flame design) marks (collectively, the “CFP® marks”) are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. (“CFP Board”).

The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. It is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients.

To attain the right to use the CFP® marks, an individual must satisfactorily fulfill the following requirements:

- Education – Complete an advanced college-level course of study addressing the financial planning subject areas that CFP Board’s studies have determined as necessary for the competent and professional delivery of financial planning services, and attain a Bachelor’s Degree from a regionally accredited United States college or university (or its equivalent from a foreign university). CFP Board’s financial planning subject areas include insurance planning and risk management, employee benefits planning, investment planning, income tax planning, retirement planning, and estate planning;
- Examination – Pass the comprehensive CFP® Certification Examination. The examination includes case studies and client scenarios designed to test one’s ability to correctly diagnose financial planning issues and apply one’s knowledge of financial planning to real world circumstances;
- Experience – Complete at least three years of full-time financial planning-related experience (or the equivalent, measured as 2,000 hours per year); and
- Ethics – Agree to be bound by CFP Board’s Standards of Professional Conduct, a set of documents outlining the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements in order to maintain the right to continue to use the CFP® marks:

- i. Continuing Education – Complete 30 hours of continuing education hours every two years, including two hours on the Code of Ethics and other parts of the Standards of Professional Conduct, to maintain competence and keep up with developments in the financial planning field; and
- ii. Ethics – Renew an agreement to be bound by the Standards of Professional Conduct. The Standards prominently require that CFP® professionals provide financial planning

CFP® professionals who fail to comply with the above standards and requirements may be subject to CFP Board’s enforcement process, which could result in suspension or permanent revocation of their CFP® certification.

## **DISCIPLINARY INFORMATION**

There are no legal or disciplinary events that are material to a client’s or prospective client’s evaluation of this advisory business.

## **OTHER BUSINESS ACTIVITIES**

Sarah Sikorski is not engaged in any investment-related business or occupation (other than this advisory firm).

## **ADDITIONAL COMPENSATION**

Sarah Sikorski does not receive economic benefit from any person, company, or organization, other than Willis Johnson Wealth in exchange for providing clients advisory services through Willis Johnson Wealth. Sarah Sikorski may receive additional economic benefits from Willis Johnson Wealth based on net new assets under the firm’s management and for net new assets she brings into the firm.

## **SUPERVISION**

As a representative of Willis Johnson Wealth, Sarah Sikorski is supervised by Nick Johnson, the firm’s Chief Compliance Officer. Nick Johnson is responsible for ensuring that Sarah Sikorski adheres to all required regulations regarding the activities of an Investment Adviser Representative, as well as all policies and procedures outlined in the firm’s Code of Ethics and compliance manual. The phone number for Nick Johnson is (713) 439-1200.



## **Makemma Cockrell Wolff, CFP®**

April 2026

Willis Johnson Wealth

5847 San Felipe, Ste. 1500  
Houston, TX 77057

713.439.1200

[www.wjohnsonwealth.com](http://www.wjohnsonwealth.com)

This brochure supplement provides information about Makemma Wolff that supplements the Willis Johnson Wealth brochure. You should have received a copy of that brochure. Please contact Nicholas Johnson at 713.439.1200 if you did not receive Willis Johnson Wealth's brochure or if you have any questions about the contents of this supplement.

Additional information about Makemma Wolff is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

### **Makemma Wolff, born 2000**

#### **Business Background:**

Willis Johnson Wealth

Associate Wealth Manager, September 2025 – Present

Intern, May 2022 – August 2022

Theory Planning Partners, LLC.

Investment Adviser Representative, May 2025 – August 2025

Avion Wealth, LLC

Associate Relationship Manager, January 2023 – May 2025

Texas Tech University

Student, June 2019 – December 2022

Brownlee Wealth Management

Intern, September 2022 – November 2022

LoneStar Wealth Management

Intern, January 2022 – April 2022

Carpet Tech

Office Assistant, July 2020 – May 2021

#### **Education:**

Bachelor of Science Business, Texas A&M University - 2020

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- Education – Complete an advanced college-level course of study addressing the financial planning subject areas that CFP Board’s studies have determined as necessary for the competent and professional delivery of financial planning services, and attain a Bachelor’s Degree from a regionally accredited United States college or university (or its equivalent from a foreign university). CFP Board’s financial planning subject areas include insurance planning and risk management, employee benefits planning, investment planning, income tax planning, retirement planning, and estate planning;
- Examination – Pass the comprehensive CFP® Certification Examination. The examination includes case studies and client scenarios designed to test one’s ability to correctly diagnose financial planning issues and apply one’s knowledge of financial planning to real world circumstances;

- Experience – Complete at least three years of full-time financial planning-related experience (or the equivalent, measured as 2,000 hours per year); and
- Ethics – Agree to be bound by CFP Board’s Standards of Professional Conduct, a set of documents outlining the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements in order to maintain the right to continue to use the CFP® marks:

- I. Continuing Education – Complete 30 hours of continuing education hours every two years, including two hours on the Code of Ethics and other parts of the Standards of Professional Conduct, to maintain competence and keep up with developments in the financial planning field; and
- II. Ethics – Renew an agreement to be bound by the Standards of Professional Conduct. The Standards prominently require that CFP® professionals provide financial planning services at a fiduciary standard of care. This means CFP® professionals must provide financial planning services in the best interests of their clients.

CFP® professionals who fail to comply with the above standards and requirements may be subject to CFP Board’s enforcement process, which could result in suspension or permanent revocation of their CFP® certification.

## **DISCIPLINARY INFORMATION**

There are no legal or disciplinary events that are material to a client’s or prospective client’s evaluation of this advisory business.

## **OTHER BUSINESS ACTIVITIES**

Makemma Wolff is not engaged in any investment-related business or occupation (other than this advisory firm).

## **ADDITIONAL COMPENSATION**

Makemma Wolff does not receive economic benefit from any person, company, or organization, other than Willis Johnson Wealth in exchange for providing clients advisory services through Willis Johnson Wealth. Makemma Wolff may receive additional economic benefits from Willis Johnson Wealth based on net new assets under the firm’s management and for net new assets he brings into the firm.

## **SUPERVISION**

As a representative of Willis Johnson Wealth, Makemma Wolff is supervised by Nicholas Johnson, the firm’s Chief Compliance Officer. Nicholas Johnson is responsible for ensuring that Makemma Wolff adheres to all required regulations regarding the activities of an Investment Adviser Representative, as well as all policies and procedures outlined in the firm’s Code of Ethics and compliance manual. The phone number for Nicholas Johnson is 713.439.1200.



**Luke Wuthrich, CFP®**

April 2026

Willis Johnson Wealth

5847 San Felipe, Ste. 1500  
Houston, TX 77057

713.439.1200

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Additional information about Luke Wuthrich is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

### Luke Wuthrich, born 1999

#### **Business Background:**

Willis Johnson Wealth

Associate Wealth Manager, March 2026 – Present

Investment Adviser Representative, April 2026 – Present

Linscomb Wealth, Inc.

Associate Wealth Advisor, July 2025 – February 2026

Senior Wealth Associate, May 2024 – July 2025

Wealth Associate, July 2022 – May 2024

Financial Planning Intern, June 2021 – August 2021

The Regis School of the Sacred Heart

Finance and Advancement Intern, May 2020 – August 2020

#### **Education:**

Bachelor of Science in Business (Accounting and Finance), Minor in Sports Management - Kelley School of Business, Indiana University Bloomington - 2022

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To attain the right to use the CFP® marks, an individual must satisfactorily fulfill the following requirements:

- Education – Complete an advanced college-level course of study addressing the financial planning subject areas that CFP Board’s studies have determined as necessary for the competent and professional delivery of financial planning services, and attain a Bachelor’s Degree from a regionally accredited United States college or university (or its equivalent from a foreign university). CFP Board’s financial planning subject areas include insurance planning and risk management, employee benefits planning, investment planning, income tax planning, retirement planning, and estate planning;
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- Experience – Complete at least three years of full-time financial planning-related experience (or the equivalent, measured as 2,000 hours per year); and
- Ethics – Agree to be bound by CFP Board’s Standards of Professional Conduct, a set of documents outlining the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements in order to maintain the right to continue to use the CFP® marks:

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- II. Ethics – Renew an agreement to be bound by the Standards of Professional Conduct. The Standards prominently require that CFP® professionals provide financial planning services at a fiduciary standard of care. This means CFP® professionals must provide financial planning services in the best interests of their clients.

CFP® professionals who fail to comply with the above standards and requirements may be subject to CFP Board’s enforcement process, which could result in suspension or permanent revocation of their CFP® certification.

Certified Financial Planner Board of Standards Center for Financial Planning, Inc. owns and licenses the certification marks CFP®, CERTIFIED FINANCIAL PLANNER®, and CFP® (with plaque design) in the United States to Certified Financial Planner Board of Standards, Inc., which authorizes individuals who successfully complete the organization’s initial and ongoing certification requirements to use the certification marks.

## **DISCIPLINARY INFORMATION**

There are no legal or disciplinary events that are material to a client’s or prospective client’s evaluation of this advisory business.

## **OTHER BUSINESS ACTIVITIES**

Luke Wuthrich is not engaged in any investment-related business or occupation (other than this advisory firm).

## **ADDITIONAL COMPENSATION**

Luke Wuthrich does not receive economic benefit from any person, company, or organization, other than Willis Johnson Wealth in exchange for providing clients advisory services through Willis Johnson Wealth. Luke Wuthrich may receive additional economic benefits from Willis Johnson Wealth based on net new assets under the firm’s management and for net new assets he brings into the firm.

## **SUPERVISION**

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**Brandon Shane Young, CFP®**

April 2026

Willis Johnson Wealth

5847 San Felipe, Ste. 1500  
Houston, TX 77057

713.439.1200

[www.wjohnsonwealth.com](http://www.wjohnsonwealth.com)

This brochure supplement provides information about Brandon Shane Young that supplements the Willis Johnson Wealth brochure. You should have received a copy of that brochure. Please contact Nicholas Johnson at 713.439.1200 if you did not receive Willis Johnson Wealth's brochure or if you have any questions about the contents of this supplement.

Additional information about Brandon Shane Young is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

### **Brandon Shane Young, born 1980**

#### **Business Background:**

Willis Johnson Wealth

Sr. Wealth Manager, February 2025 – Present  
Wealth Manager, January 2024 – January 2025  
Associate Wealth Manager, July 2022 – January 2024  
Financial Planning Analyst, June 2019 – July 2022  
Investment Adviser Representative, June 2019 – Present

Invesco Advisers, Inc. (formerly A I M Distributors, Inc.)

Internal Wholesaler, December 2007 – June 2019  
Chairman’s Council Rep, September 2006 – November 2007  
Client Service Representative, August 2004 – August 2006

#### **Education:**

Texas A&M University, B.S. in Economics, May 2004

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- Experience – Complete at least three years of full-time financial planning-related experience (or the equivalent, measured as 2,000 hours per year); and
- Ethics – Agree to be bound by CFP Board’s Standards of Professional Conduct, a set of documents outlining the ethical and practice standards for CFP® professionals.

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- II. Ethics – Renew an agreement to be bound by the Standards of Professional Conduct. The Standards prominently require that CFP® professionals provide financial planning services at a fiduciary standard of care. This means CFP® professionals must provide financial planning services in the best interests of their clients.

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## **DISCIPLINARY INFORMATION**

There are no legal or disciplinary events that are material to a client’s or prospective client’s evaluation of this advisory business.

## **OTHER BUSINESS ACTIVITIES**

Brandon Young is not engaged in any investment-related business or occupation (other than this advisory firm).

## **ADDITIONAL COMPENSATION**

Brandon Young does not receive any economic benefit from any person, company or organization other than Willis Johnson Wealth in exchange for providing clients advisory services through Willis Johnson Wealth. Brandon Young may receive additional economic benefits from Willis Johnson Wealth based on net new assets under the firm’s management and for net new assets he brings into the firm.

## **SUPERVISION**

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